



A hybrid crow search algorithm based on rough searching scheme for solving engineering optimization problems

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Abstract

In this paper, a hybrid intelligent algorithm, named rough crow search algorithm (RCSA), by combining crow search algorithm (CSA) with rough searching scheme (RSS) is presented for solving engineering optimization problems. RCSA integrates the merits of the CSA and RSS to intensify the search in the promising region where the global solution resides. In terms of robustness and efficiency of the available optimization algorithms, some algorithms may not be in a position to specify the global optimal solution precisely but can rather specify them in a 'rough sense'. Thus, the main reason for incorporating the RSS is handling the impreciseness and roughness of the available information about the global optimal, particularly for the problems with high dimensionality. By upper and lower approximations of the RST, the promising region becomes under siege. Therefore this can accelerate the optimum seeking operation and achieve the global optimum with a low computational cost. The proposed RCSA algorithm is validated on 30 benchmark problems of IEEE CEC 2005, IEEE CEC 2010 and 4 engineering design problems. The obtained results by RCSA are compared with different algorithms from the literature. The comparisons demonstrate that the RCSA outperform the other algorithms for almost all benchmark problems in terms of solution quality based on the results of statistical measures and Wilcoxon signed ranks test.

Keywords Crow search algorithm · Rough set theory · Nonlinear programming problems

1 Introduction

Large-scale nonlinear programming arises in a wide variety of scientific and engineering applications including structural optimization, engineering design, very large-scale cell layout design, economics, resource allocation and many other applications (Bartholomew-Biggs 2008; Rao 2009). In most cases there are many optimization problems that involve some attributes, such as high dimensionality and multimodality, the solution of these problems are usually a

complex task. Moreover, in many instances, complex optimization problems present peaks, channels and/or valleys which make traditional deterministic methods inefficient to find the global solutions.

The traditional optimization methods (TOMs) (Rao 2009) such as Newton and steepest-descent methods always rely on the restrictions of gradient information regarding the objective function and the goodness of the initial solution. These methods can perform well for small scale problems. Challenges can be appeared when coping with complex tasks that are characterized by the non-linearity, high dimensionality, multimodality, prohibited regions induced by constraints and large search areas. Handling such complex tasks using TOMs is almost impossible or requires notable computational efforts (Xiaohui et al. 2017; Rizk-Allah et al. 2018a, b).

Alternatively, the metaheuristic algorithms (MAs) have exhibited promising performance when dealing with complex tasks that are extremely nonlinear, high dimension and multimodal (Rizk-Allah 2018; Tharwat et al. 2018; Yang 2008). The MAs have some features which include the capability of searching within a wide search area for the global

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or near global solutions, they established based on embraces probabilistic transition rules that preserve the diversity, no reliance on the derivatives of objective function, and they are independent on the problems nature, thus they have flexibility to be applicable to a great assortment of complex tasks. However, by the means of the NFL Theorem (No Free Lunch) (Yang 2008), no meta-heuristic algorithm can be suited to deal with all optimization problems. So developing a new algorithm or modified algorithms undoubtedly is a true challenge.

Crow search algorithm (CSA) is an efficient meta-heuristic algorithm that was developed by Askarzadeh (Alireza 2016) for solving global optimization problems. It was inspired by the intelligent behavior of crows in nature. Crows are greedy birds since they follow each other to obtain better sources of food. They have very strong memory to store and retrieve food across seasons which are superior to other birds. The process of finding food source hidden by a crow is not an easy task. The crow has an intelligent ruse that is the crow tries to cheat another crow by going to another position of the environment, if it finds another one following it. The CSA has been applied to some real-world problems such as feature selection (Sayed et al. 2017), fractional optimization (Rizk-Allah et al. 2018a, b), and nonlinear optimization problems (Mohit et al. 2017). However as a new algorithm, CSA acquires some disadvantages. The first is that the updating mechanism employs unidirectional search which deteriorates the diversity of solutions and can lead to the stuck in local solution. The second is that no sieging strategy regarding the promising region is utilized and this may lead to the running without improvement in the quality of solution.

This paper presents a hybrid intelligent algorithm, named rough crow search algorithm (RCSA) for solving IEEE CEC 2005, IEEE CEC 2010 benchmark problems and engineering design problems. The proposed methodology operates in two phases: in the first phase, an improved version of the CSA is introduced based on two modifications namely, changing the crow flight length dynamically as well as searching in the opposite direction. However, the effective performance of CSA in solving optimization problems, it cannot perform well for all test problems. Thus, the second phase incorporates the RSS which is inspired by Pawlak's rough set theory to avoid the trapping in the local optimum. Meanwhile this phase breaks new regions in the search space to improve the exploration search. Furthermore, these regions are shrunk with the iteration to obtain precise optimal solution. Therefore, the embedding of the RSS phase with the CSA is a prudent way to prevent the premature convergence of the swarm and avoid the local solutions.

The rest of the paper is arranged as follows. Section 2 introduces the related work of this study. Section 3 provides the basics of crow search algorithm and rough set theory.

Section 4 presents the proposed rough crow search algorithm in details. In Sect. 5, the results and discussions are provided, and finally the conclusions and future work are presented in Sect. 6.

2 Related work

This section provides the preliminaries of the nonlinear programming problem (NLPP). Also, the mechanisms of metaheuristic techniques and their challenges are discussed. Eventually, the motivation and main contribution of the proposed work are showed.

2.1 Problem formulation

A nonlinear programming problem (NLPP) is stated as follows (Rao 2009):

$$\begin{aligned} \text{Min}_{\Omega} f(\mathbf{x}) &= f(x_1, x_2, \dots, x_n) \\ \text{Subject to: } \mathbf{x} &\in \Omega, \end{aligned} \quad (1)$$

$$\begin{aligned} \Omega = \{ \mathbf{x} \mid g_j(\mathbf{x}) \leq 0, j = 1, \dots, q, h_j(\mathbf{x}) = 0, j = q + 1, \\ \dots, m, LB_i \leq x_i \leq UB_i, i = 1, \dots, n \} \end{aligned} \quad (2)$$

where $f(\mathbf{x})$ is the objective function, $\mathbf{x} = (x_1, x_2, \dots, x_n)$ is a vector of n decision variables from some universe Ω , Ω contains all possible \mathbf{x} that can be used to satisfy an evaluation of $f(\mathbf{x})$ and its constraints, and LB_i and UB_i represent the lower bound and the upper bound for decision variable x_i , respectively. There are q inequality constraints $g_i(\mathbf{x})$ and $(m - q)$ equality constraints $h_j(\mathbf{x})$.

The method for finding the global optimum of any function (may not be unique) is referred to as global optimization. In general, the global minimum of a single-objective problem is presented in Definition 1 (Rao 2009):

Definition 1 (*The global minimum*) Given a function $f : \Omega \subseteq \mathbb{R}^n \rightarrow \mathbb{R}$, $\Omega \neq \emptyset$, for $\mathbf{x} \in \Omega$ the value $f^* \triangleq f(\mathbf{x}^*) > -\infty$ is called a global minimum if and only if:

$$\forall \mathbf{x} \in \Omega : f(\mathbf{x}^*) \leq f(\mathbf{x}) \quad (3)$$

where \mathbf{x}^* is by definition the global minimum solution, $f(\cdot)$ is the objective function, \mathbb{R}^n is an n -dimensional real space and the set Ω is the feasible region of \mathbf{x} . The goal of determining the global minimum solution is called the global optimization problem for a single-objective problem.

2.2 Literature review

Researchers have been relied on metaheuristics algorithms (Yang 2008) because of their superior abilities over the traditional optimization methods, especially for complicated optimization problems. The main reason behind their superior abilities is caused by the following features: flexibility, simplicity, derivative free approaches and ability to avoid local optima. They can be divided into two main categories: evolutionary computational algorithms (ECAs) and swarm optimization algorithms (SOAs). ECAs mimic the biological evolutionary mechanism to solve optimization problems. The most well-known paradigms of evolutionary algorithms contain genetic algorithm (GA) (Rubén et al. 2015), and differential evolution (DE) (Xiang and Wang 2015). SOAs generally imitate the collective behavior of animals such as birds, ants and bees. Particle swarm optimization (PSO) (Chijun et al. 2016), ant colony optimization (ACO) (Mousa et al. 2011) and firefly algorithm (FA) (Rizk-Allah et al. 2013) are the most famous paradigms of the SOAs. These algorithms outperform the traditional numerical methods on providing better solutions for some difficult and complicated real-world optimization problems (Rizk-Allah et al. 2017a, b, 2018a, b; Elhoseny et al. 2018a; Metawa et al. 2017).

According to NFL Theorem (No Free Lunch) (Yang 2008), no metaheuristic algorithm is suited appropriately for solving all optimization problems, where it can achieve very promising results for a set of problems, and can show poor performance in a set of different problems. Therefore the integrations with some strategies are established for obtaining effective performance. In this regard, we integrate rough set theory (RST) that was proposed by Pawlak (1982) with the crow search algorithm (CSA). RST presents an extension of the classical set theory and differ from the fuzzy set theory in its independence on any prior knowledge. It expresses the vagueness not by the means of member relationship but by employing the boundary region of a set. RST relies on replacing any vague concept, namely a subset of the universe, by two crisp concepts, which are called the upper approximation and the lower approximation of the vague concept. The upper one represents the maximal crisp set while the lower one represents the minimal crisp set of the vague concept. The boundary region is the difference between the upper and the lower approximations. Naturally, the presence of ill posed data in real-world problems is inevitable, so applying the RST methodology is a vital step. Since its inception by Pawlak (1982), it has attracted the attention of scientists and researchers in many fields such as feature selection (Shu and Shen 2014), knowledge discovery (Li et al. 2009), and attribute reduction (Xiuyi et al. 2016) and among others (Jie et al. 2017; Rizk-Allah 2016).

2.3 Motivation and contribution of the study

The theoretical researches on the optimization algorithms in the literature have been mainly concerned with two directions: improving the current techniques and hybridizing different algorithms. The goal of these directions is mainly to improve the diversity, prevent the premature convergence and increase the convergence rate. However, despite the successful test of these algorithms on some optimization problems and their high convergence speeds, these algorithms suffer from premature convergence and weak diversity, particularly when handling highly nonlinear optimization problems and/or the optimum solution resides in a tiny subset of the search space. Further, the conflicting between precision and computing time makes these methods often yield an unsatisfactory solution that is characterized by lack of precision and slow convergence. These disadvantages are the main motivations of this work.

This paper is motivated by several features that distinguish the proposed methodology over the existing in the literatures. First, modified variant of the crow search algorithm (CSA) based on opposite direction search is introduced to preserve the exploration ability. Second, the integration between the rough searching scheme (RSS) that is inspired by Pawlak's rough set theory and crow search algorithm (CSA) to solve global optimization problems which have not been studied yet. Third, the rough searching scheme (RSS)-based evolved and shrunken regions can overcome the drawbacks of many algorithms. Lastly, solving large scale optimization problems have not received adequate attention yet. Hence solving these problems to optimality undoubtedly becomes a true challenge.

The main contributions of this study are as follows:

1. RCSA is proposed for solving large scale optimization tasks which integrates the merits of two phases namely: crow search algorithm (CSA) and rough searching scheme (RSS).
2. CSA phase exhibits a dynamic flight length to adjust the tendency of approaching the optimal solution.
3. An opposition-based learning is adopted for updating the solution to improve the diversity of solutions.
4. RSS is proposed to siege the promising regions and then this can refine the quality of solution and avoiding the local solution.
5. The effectiveness of RCSA is investigated and validated through comprehensive experiments and comparisons for solving IEEE CEC 2005, IEEE CEC 2010 benchmark problems and engineering design problems.

3 Methods and materials

This section describes the basics of crow search algorithm (CSA) and the preliminaries of rough set theory.

3.1 Crow search algorithm (CSA)

Crow search algorithm (CSA) is a novel metaheuristic algorithm that is proposed by Askarzadeh (Alireza 2016) for solving optimization problems. It is inspired by the cleverness of crows in finding food sources. The classical CSA consists of three consecutive phases. Firstly, the position of hiding place of each crow is created randomly and the memory of each crow is initialized with this position as the best experience. Secondly, crow evaluates the quality of its position according to the objective function. Finally, crow randomly selects one of the flock crows and follows it to discover the position of the foods hidden by this crow. If the found position of the food is tasty, the crow updates its position. Otherwise, the crow stays in the current position and does not move to the generated position. The procedure of the CSA is summarized as follows (Alireza 2016).

Step 1: Initialize a swarm of crows within the n dimensional search space, where the algorithm assigns a random vector $\mathbf{x}_i = (x_{i,1}, x_{i,2}, \dots, x_{i,n})$ for the i th crow, $i = 1, 2, \dots, N$. Furthermore, each crow of the swarm is characterized by its memory (i.e., initially, the memory of each crow is filled with the initial position, $\mathbf{m}_i = (m_{i,1}, m_{i,2}, \dots, m_{i,n})$, where the crows have no experience about the food sources).

Step 2: Each crow is evaluated according to the quality of its position which is related to the desired objective function.

Step 3: Crows create new positions in the search space as follows: crow i selects one of the flock crows randomly, i.e., crow j , and follows it to discover the position of the foods hidden by this crow, where the new position of crow i is generated as follows:

$$x_{i,Iter+1} = \begin{cases} x_{i,Iter} + r_i \times fl_{i,Iter} (m_{j,Iter} - x_{i,Iter}) & a_j \geq AP_{j,t} \\ \text{a random position} & \text{otherwise} \end{cases} \quad (4)$$

where r_i , a_j are random numbers with uniform distribution between 0 and 1, $AP_{j,t}$ denotes the awareness probability of crow j at iteration $Iter$ and $fl_{i,t}$ denotes the flight length of crow i at iteration $Iter$. $m_{j,Iter}$ denotes the memory of crow j at iteration $Iter$.

Figure 1 shows the effect of the parameter fl on the search capability where the small values of $fl (fl \leq 1)$ leads to explore new position of crow lies on the dashed line between $m_{j,t}$ and $x_{i,t}$ as in Fig. 1a, while Fig. 1b shows that, if the value of fl is selected more than 1, the new position of crow lies on the dashed line which outside the line segment between $m_{j,t}$ and $x_{i,t}$.

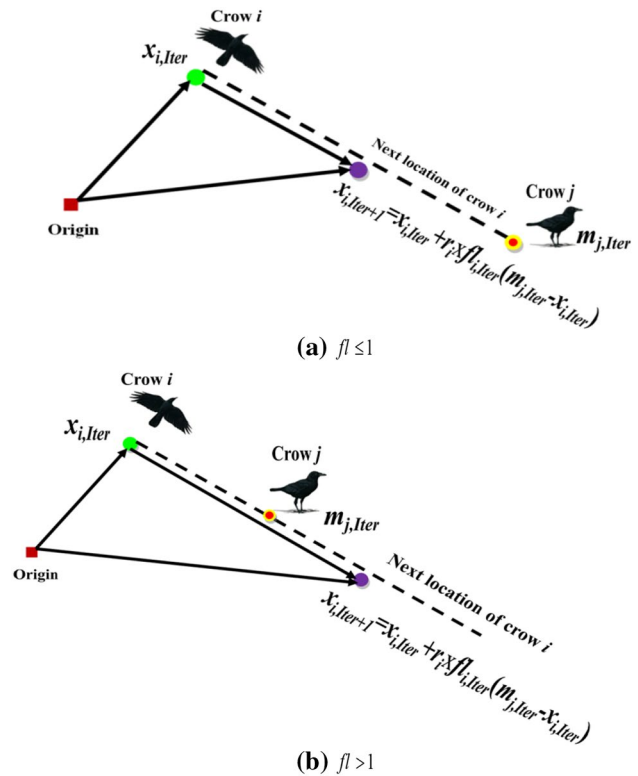


Fig. 1 Searching mechanism by the crow in the two states: **a** $fl \leq 1$ and **b** $fl > 1$

Step 4: After generating the crow’s positions, the new positions are evaluated and each crow updates its memory as follows:

$$m_{i,Iter+1} = \begin{cases} x_{i,Iter+1} & f(x_{i,Iter+1}) > f(m_{i,Iter}) \\ m_{i,Iter} & \text{otherwise} \end{cases} \quad (5)$$

where $f(\cdot)$ denotes the objective function value, $>$ denotes better than.

The nature behavior of crow is characterized by memorizing the position of hidden places of food and retrieving it across seasons. In this regard, it is assumed that each crow memorizes the position of hidden places in a memory denoted by m , thus at iteration $Iter$, the position of hidden place of crow j is denoted by $m_{j,Iter}$. In the initialize step, the memory $m_{j,Iter}$ of crow j is initialized with its initial position $x_{j,Iter}$, then this memory is updated at each iteration by Eq. (5) to attain best position of food source (hidden place). Equation (5) operates by filling the memory of the crow with its new position if it is better than the stored one.

Step 5: End the algorithm if the maximum number of generations is met and the best position of the memory in terms of the objective function value is reported as the solution of the optimization problem; otherwise, go back to Step 3.

3.2 Rough set theory (RST)

The basic concept of the RST is the indiscernibility relation, which is generated by the information about the objects (Pawlak 1982). Because the discerning knowledge is lack, one cannot identify some objects based on the available information. The indiscernibility relation expresses this fact by considering granules of indiscernible objects as a fundamental basis. Some relevant concepts of the RST are presented in Pawlak (1982) (i.e., see Appendix 1).

4 The proposed RCSA algorithm

The metaheuristic algorithms have been devised to overcome the computational drawbacks of existing numerical algorithms such as complex derivatives, sensitivity to initial values and the large amount of enumeration memory required. Their conventional procedures for finding the optimal solution are iterative based and depend on randomness to imitate natural phenomena. Thus the process for searching the global optimal solution would reveal that some of metaheuristic algorithms fail to find a precise value for the global optimal solution but they can obtain an approximate value or rough sense value. In such situations, it is desirable to provide more exploration in finding the global solution and to prevent premature convergence of the swarm. Towards this objective, we focused in this study on the hybridization between RSS and CSA. This hybridization is called rough crow search algorithm (RCSA). The proposed RCSA operates in two phases: in the first one, CSA is implemented as global optimization system to find an approximate solution of the global optimization problem. In the second phase, RSS is introduced to improve the solution quality through the roughness of the obtained optimal solution so far. By this way, the roughness of the obtained optimal solution can be represented as a pair of precise concepts based on the lower and upper approximations which are used to constitute the interval of boundary region. After that, new solutions are randomly generated inside this region to enhance the diversity of solutions and achieve an effective exploration to avoid premature convergence of the swarm. We start the explanation of the RCSA as follows.

4.1 Algorithm initialization

Instead of fixing the parameters, the fine-tuning process of the algorithm parameters may have major influence in faster convergence and final outcome. As a first improvement, RCSA introduces a new modification on the flight length

parameter such that changes dynamically with iteration number, instead of the fixed value. The second improvement has been considered the searching in the opposite directions. In addition, RSS is introduced to define the bounds for the obtained optimal solution so far and then new solutions are generated randomly inside these bounds.

4.2 Rough CSA

Phase 1: CSA

In CSA, crucial influence on algorithm performance refers to the calculation of the hiding places of a crow. Basic implementation of this metaheuristic technique assumes a fixed value of the flight length which cannot be changed during iterations. The main drawback of this technique appears in the flight length value that the algorithm needs to cover the overall search space for finding the optimal solution. The small value of the flight length explores the solutions inside the line segment and the large value of the flight length explores the solutions outside the line segment. Thus, this is often not a good choice, especially when dealing with more complex nonlinear and multimodal problems. In order to accelerate the convergence, eliminate the drawbacks which caused by fixed values of the flight length and balance exploration and exploitation, the flight length is changed dynamically with iteration number as shown in Fig. 2 using the following equation (Pan et al. 2014):

$$fl_{Iter} = fl_{max} \cdot \exp\left(\log\left(\frac{fl_{min}}{fl_{max}}\right) \cdot \left(\frac{Iter}{Iter_{max}}\right)\right) \quad (6)$$

where fl_{Iter} is the flight length in each iteration, fl_{min} is the minimum flight length, fl_{max} is the maximum flight length, $Iter$ is the iteration number and $Iter_{max}$ is the maximum iteration number.

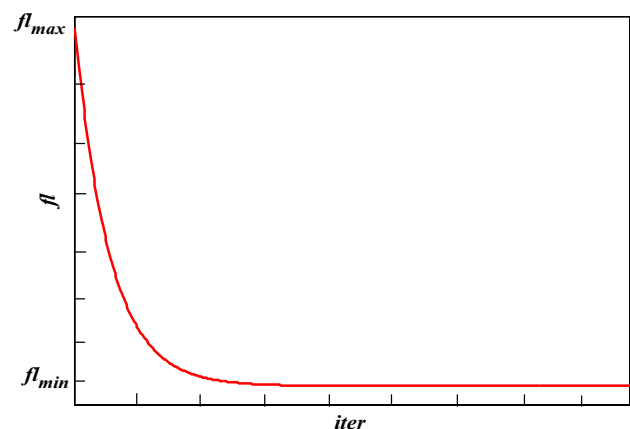


Fig. 2 Representation of fl versus iterations

In addition, we modified the Eq. (4) so that it involves the new positions inside and outside the segment that is extended equally on both sides determined by a specified value for fl_{max} .

$$x_{i,Iter+1} = \begin{cases} x_{i,Iter} + r_i \times fl_{i,Iter} (m_{j,Iter} - x_{i,Iter}) & \text{if } a_j \geq AP_{j,Iter} \\ x_{i,Iter} - r_i \times fl_{i,Iter} (m_{j,Iter} - x_{i,Iter}) & \text{else } d = i \\ LB + rand \times (UB - LB) & \text{otherwise} \end{cases}, \quad i = 1, 2, \dots, N \tag{7}$$

where $d \in \{1, 2, \dots, N\}$ is a randomly chosen index.

To further enhance the intensive search, we force the crows to search towards the opposite directions (Seif and Ahmadi 2015) in the random manner through use the negative sign that is inspired by fooling process. Equation (7) presents a new modification on the Eq. (4), meanwhile Eq. (7) is introduced with the aim of generating a new position of crow and its opposite instead of the generating a position only as in Eq. (4). In this context, the flight length, fl_{Iter} , that is introduced in Eq. (7) is evolved by Eq. (6) instead of using fixed value as in traditional CSA.

Phase 2: Rough searching scheme (RSS)

However, the optimization producers of the CSA phase yields an approximated optimal solution $\mathbf{x}^* = (x_1^*, x_2^*, \dots, x_n^*)$ which is not specified in precise crisp term, rough searching scheme-based local search is proposed in this paper to guide CSA for approaching the global optimal solution, where the approximated optimal solution is converted into rough number. Afterwards the rough interval is obtained through the upper and lower approximations for each variable. Therefore a new offspring is generated inside the obtained rough interval. The detailed description of this phase is described as follows:

Step 1: Information system

This step uses the swarm solutions as a key function for implementing the information system. The information system is denoted as the ordered pair (U, A) , where each individual is treated as an object (solution) of a non-empty finite set U . Attribute set $A = \{d_1, d_2, \dots, d_n\}$ is a non-empty finite set of attributes (i.e., the dimensions of the candidate problem, where each dimension is represented as a conditional attribute).

Step 2: Rough approximations

The ordered pair $S = (U, C)$ is called an approximation space generated by C on U , where U is a non-empty finite set of solutions per dimension (i.e., obtained solutions from CSA phase where each solution is represented as a class) and C is a reflexive relation on U that partitions U into N classes, i.e., $U/C = \{\{x_1\}, \{x_2\}, \dots, \{x_i\}, \dots, \{x_N\}\}$, where $\{x_i\}$ is the i th class and the all classes are expressed in increasing ordered such that $\{x_1\} \leq \{x_2\} \leq \dots \leq \{x_N\}$. Additionally, $\{x_i\} \leq \{x_j\}$ if and only if $x_i \leq x_j$. For each dimension $d_j, j = 1, 2, \dots, n$, the lower approximation of $x_i, i = 1, 2, \dots, N$, is denoted as $\underline{Apr}(x_i)$ and can be defined as follows:

$$\underline{Apr}(x_i) = \cup \{y \in U/C(y) \leq x_i\}. \tag{8}$$

The upper approximation $\overline{Apr}(x_i)$ of x_i can be defined as follows

$$\overline{Apr}(x_i) = \cup \{y \in U/C(y) \geq x_i\}. \tag{9}$$

Accordingly, the boundary region of x_i is given by

$$BN(x_i) = \cup \{y \in U/C(y) \neq x_i\} = \{y \in U/C(y) > x_i\} \cup \{y \in U/C(y) < x_i\}. \tag{10}$$

In classical RST, any subset $X \subseteq U$ is described by its lower and upper approximations, i.e., $\underline{BX} \subseteq X \subseteq \overline{BX}$. As a counterpart when dealing with crisp value, the less than or equal (\leq) and the greater than or equal (\geq) are used instead of using the subset (\subseteq) and superset (\supseteq), respectively.

For example, if we have $U/C = \{\{4\}, \{5\}, \{7\}\}$, then the lower and upper approximations for each class using Eqs. 8 and 9 can be obtained as follows:

$$\begin{aligned} \underline{Apr}(4) &= 4 & \overline{Apr}(4) &= \{4 + 5 + 7\} & BN(4) &= \{5, 7\} \\ \underline{Apr}(5) &= \{4, 5\} & \overline{Apr}(5) &= \{5, 7\} & BN(5) &= \{4, 7\} \\ \underline{Apr}(7) &= \{4, 5, 7\} & \overline{Apr}(7) &= 7 & BN(7) &= \{4, 5\} \end{aligned}$$

The Step 2 (i.e., of rough approximations) makes usage of the upper and lower approximations to describe the proposed process. It is extended from the basics of the rough set theory that are described in Sect. 2.3. Hence the obtained solutions for i th dimension are represented as the degrees under increasing order condition (i.e., $x_1 < x_2 < \dots < x_N$), then the subset (\subseteq) and superset (\supseteq) are analogous to the less than or equal (\leq) and the greater than or equal (\geq), respectively. In simple words, for a degree, x_i , in a set of ordered degrees, the lower approximation of x_i contains all the solutions (degrees) in the information system that have values equal to or less than x_i . The upper approximation of x_i contains all the solutions (degrees) in the same information system that have values equal to or greater than x_i ; and the boundary region of x_i contains all the degrees in the information table that have different values from x_i .

Step 3: Rough interval

Based on the approximations of a class defined above, the so-called rough number can be defined as follows: the degree, x_i , of the i th dimension can be represented by its rough number composed of the lower bound (x_i^{LB}) and the upper bound (x_i^{UB}) is denoted by $RN(x_i)$. Mathematically,

$$x_i^{LB} = \frac{1}{N_{LB}} \sum y \mid y \in \underline{Apr}(x_i) \tag{11}$$

$$x_i^{UB} = \frac{1}{N_{UB}} \sum y \mid y \in \overline{Apr}(x_i) \tag{12}$$

where N_{LB} is the number of objects contained in the lower approximation of x_i and N_{UB} is the number of objects contained in the upper approximation of x_i .

The interval between the lower bound (x_i^{LB}) and the upper bound (x_i^{UB}) is known as the rough boundary interval, which is denoted as $RBI(x_i)$ as follows:

$$RBI(x_i) = x_i^{UB} - x_i^{LB}. \tag{13}$$

Definition 6 Any vague class is characterized by a so-called rough number ($RN(x_i)$) consisting of the lower bound and the upper bound of the said class as follows:

$$RN(x_i) = [x_i^{LB}, x_i^{UB}]. \tag{14}$$

Remark According to the above example, the Eqs. 11 and 12 can be calculated as follows:

$$\begin{aligned} x_1^{LB} &= 4 & x_1^{UB} &= 5.33, & RN(x_1) &= [4, 5.33] \\ x_2^{LB} &= 4.5 & x_2^{UB} &= 6, & RN(x_2) &= [4.5, 6] \\ x_3^{LB} &= 5.33 & x_3^{UB} &= 7, & RN(x_3) &= [5.33, 7] \end{aligned}$$

By using Eq. (14) we can generate new solutions randomly inside each interval and the unified interval. The unified interval of i th dimension is computed as follows.

The rough solution of i th dimension is computed as follows:

$$x_i^{LB} = (x_{i1}^{LB} + x_{i2}^{LB} + \dots + x_{iN}^{LB})/N \tag{15}$$

$$x_i^{UB} = (x_{i1}^{UB} + x_{i2}^{UB} + \dots + x_{iN}^{UB})/N. \tag{16}$$

Briefly, the lower bound of a i th dimension is the mean value of the degrees contained in its lower approximations whereas the upper bound of a i th dimension is the mean value of the degrees contained in its upper approximations. The rough boundary interval of a i th dimension is the difference between its upper and lower bounds, which describes the vagueness of the said class. A class with a larger rough boundary interval is said to be vague, or less precise.

Therefore, the unified rough intervals or the regions of interest for overall dimensions are represented as follows:

$$RI(\mathbf{x}) = \{[x_1^{LB}, x_1^{UB}], [x_2^{LB}, x_2^{UB}], \dots, [x_n^{LB}, x_n^{UB}]\}. \tag{17}$$

Definition 7 The optimal solution is a rough number denoted by \mathbf{x}^* , whose lower and upper bounds are denoted by \mathbf{x}^{UB} and \mathbf{x}^{LB} , respectively.

Remark 1 If $\mathbf{x}^{UB} = \mathbf{x}^{LB}$, then the optimal solution \mathbf{x}^* is exact (crisp), otherwise \mathbf{x}^* is inexact (rough).

Step 4: Generation

In this step, new solutions are generated inside the new intervals randomly, and then these solutions are evolved through the use of the RCSA phase.

Step 5: Evaluation

In this step, the solutions are evaluated to judge if the best fitness is superior to the previous one, if so, update the best fitness value and at this moment, the best location is updated.

Step 6: Stopping criterion

This phase is terminated when either the maximum number of generations has been produced or the obtained optimal solution is exact (crisp). Schematic diagram of the rough set phase is demonstrated in Fig. 3.

In summary, the first phase of the proposed, CSA, is responsible for delivering a population of solutions for the second phase, RSS, where each dimension is represented by a vector of N-degrees. To effectively create a reliable regions in the search space, two concepts are introduced, namely rough set approximations and rough interval with the aim to achieve a prices optimal solution. The rough set approximations consist of lower and upper approximations, where the lower approximation for certain degree is defined by all degrees that are less or equal this degree while the upper approximation for certain degree is defined by all degrees that are greater or equal this degree. Afterwards, the concept of rough interval in carried out based on these approximations, where the rough interval is represented by the lower bound and upper bound. The lower (upper) bound for any degree is the mean of all degrees in its lower (upper) approximation. By the two concepts, the search is concentrated in the reliable region and therefore this can achieve more accurate solutions as well as save the computational time.

Figure 4 shows the general architecture of the proposed RCSA algorithm, where the highlighted boxes represent the introduced modifications on the original one. Figure 4 starts with initial positions associated with initial

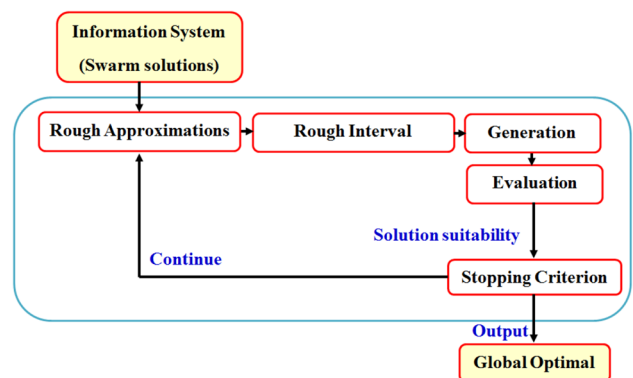


Fig. 3 Schematic diagram of rough searching scheme phase

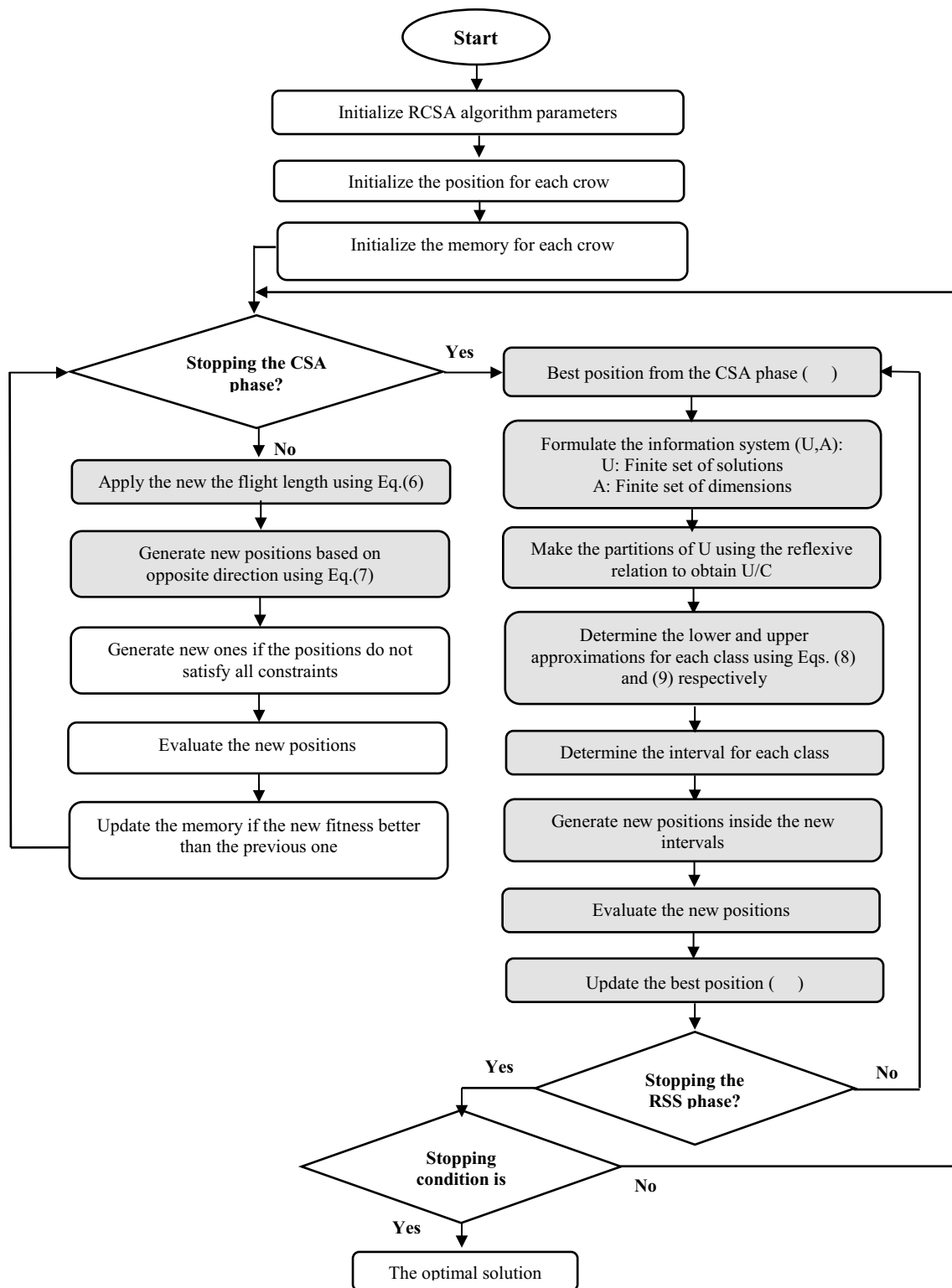


Fig. 4 Architecture of the proposed RCSA algorithm

CSA phase

Input: Parameters: N , $Iter_{max}$, AP , f_{max} and f_{min} .

Initialize the positions of crows randomly in the search space

Evaluate the initial positions

Initialize the memory of crows with the Initial positions

// Looping

while $Iter \leq Iter_{max}$ **do**

$$f_{Iter} = f_{max} \cdot \exp\left(\log\left(\frac{f_{min}}{f_{max}}\right) \cdot \left(\frac{Iter}{Iter_{max}}\right)\right)$$

for $i = 1$ to N

$d =$ a random integer in the range of $[1, N]$

$$x_{i,Iter+1} = \begin{cases} x_{i,Iter} + r_i \times f_{i,Iter} (m_{j,Iter} - x_{i,Iter}) & \text{if } a_j \geq AP_{j,Iter} \\ x_{i,Iter} - r_i \times f_{i,Iter} (m_{j,Iter} - x_{i,Iter}) & \text{else } d = i \\ LB + rand.(UB - LB) & \text{otherwise} \end{cases}, i = 1, 2, \dots, N$$

if $x_{i,Iter+1} > UB$, **then** $x_{i,Iter+1} = UB$,

if $x_{i,Iter+1} < LB$, **then** $x_{i,Iter+1} = LB$

end for

Evaluate the obtained positions of the crows

Update the memory of crows

End while

x^* = Best position from the CSA phase

RSS phase

Formulate the information system

Rank the obtained positions from the CSA phase

Calculate the rough interval for i th dimension as follows

$$x_i^{LB} = (x_i^{1LB}, x_i^{2LB}, \dots, x_i^{NLB})/N \quad \& \quad x_i^{UB} = (x_i^{1UB}, x_i^{2UB}, \dots, x_i^{NUB})/N$$

Repeat

Generate new positions randomly

for $i = 1$ to N

$$x'_i = \begin{cases} x^{LB} + rand.(x^* - x^{LB}) & \text{if } rand \geq 0.5 \\ x^* + rand.(x^{UB} - x^*) & \text{if } rand < 0.5 \end{cases}$$

End for

Evaluate the obtained positions

Update the best position

Until $Iter = Iter_{max}$

end Looping

Output: x^*

Fig. 5 The pseudo code of the proposed RCSA algorithm

memory then the updating mechanism of these positions and memory is performed according to Eqs. (7) and (5) respectively until the stopping condition of CSA phase is satisfied. Afterwards the rough searching scheme (RSS) operates until its stopping condition is satisfied where RSS receives the solutions from the CSA phase with the aim to construct the rough interval by the means of the upper and lower approximations for each variable. Therefore a new offspring is generated inside the obtained rough interval and the best one among CSA and RSS phases is survival. Also pseudo code of the proposed RCSA can be summarized as shown in Fig. 5.

5 Experiments and results

5.1 Test functions and parameter settings

In this section, the performance of the proposed RCSA algorithm is tested on 25 CEC'2005 benchmark functions (Suganthan et al. 2005; Sedlaczek and Eberhard 2005). The mathematical description these functions, types of functions and number of dimensions (Suganthan et al. 2005) are given in Table 1. The robustness and effectiveness of the proposed RCSA are validated through comparing it with the prominent algorithms from literature. The algorithm is coded in MATLAB 7, running on a computer with an Intel Core I 5 (1.8 GHz) processor and 4 GB RAM memory.

Additionally, the parameter configurations of the RCSA and CSA algorithms including population size, the number of iterations and awareness probability are based on the suggestions in the corresponding literature (Alireza 2016) while the flight length is introduced by a new manner to change dynamically [i.e., see Eq. (6)] where the maximum flight length is considered as the maximum radius of search and minimum flight length is considered as the minimum radius (i.e., see Table 2).

Table 2 Parameter settings of RCSA

| | |
|---|---------------------|
| Population size | 50 |
| The number of iterations | 500 |
| Awareness probability (AP) | 0.1 |
| Maximum flight length ($f_{l_{max}}$) | $(UB - LB)/2$ |
| Minimum flight length ($f_{l_{min}}$) | 10^{-5} |
| Flight length (f) | Changes dynamically |

5.2 Performance analysis using the statistical measures

To completely evaluate the performance of the proposed RCSA algorithm, the comparison between the global solution and the obtained solution by the RCSA for each test function is reported as in Table 3 where in each tested case, the solution is demonstrated before and after incorporating the RSS phase. From the Table 3, we can note that the obtained solutions after incorporating the RSS phase are more accurate and converge to the optimal value solutions than that obtained without incorporating the RSS phase.

As indicated in Table 3, the proposed RCSA algorithm gives the exact optimum results for the test functions 1–12 when the algorithm is implemented with and without RSS phase, while RCSA algorithm performs better results than the algorithm without RSS phase for the test functions 13–25.

Beside the comparison with global optimal solution, we additionally use the statistical measures (i.e., see Table 4) such as best, mean, median and worst objective values as well as their standard deviations and average time are obtained over 50 independent runs for each test problem.

Table 1 Benchmark functions

| ID | Function name | D | C | ID | Function name | D | C |
|-------|---|----|---|-----------------|--|----|---|
| F_1 | Shifted Sphere Function | 10 | U | F_9 | Shifted Rastrigin's Function | 10 | M |
| F_2 | Shifted Schwefel's Problem 1.2 | 10 | U | F_{10} | Shifted Rotated Rastrigin's Function | 10 | M |
| F_3 | Shifted Rotated High Conditioned Elliptic Function | 10 | U | F_{11} | Shifted Rotated Weierstrass Function | 10 | M |
| F_4 | Shifted Schwefel's Problem 1.2 with Noise in Fitness | 10 | U | F_{12} | Schwefel's Problem 2.13 | 10 | M |
| F_5 | Schwefel's Problem 2.6 with Global Optimum on Bounds | 10 | U | F_{13} | Expanded Extended Griewank's plus Rosenbrock's Function (F8F2) | 10 | M |
| F_6 | Shifted Rosenbrock's Function | 10 | M | F_{14} | Shifted Rotated Expanded Scaffers F6 | 10 | M |
| F_7 | Shifted Rotated Griewank Function without Bounds | 10 | M | $F_{15}:F_{25}$ | Hybrid functions: where each on has been composed from the previous functions (different in each case) | 10 | M |
| F_8 | Shifted Rotated Ackley's Function with Global Optimum on Bounds | 10 | M | | | | |

C characteristic, U unimodal, M multimodal, D dimension of n

Table 3 Comparison between the global solution and RCSA solution without and with RSS phase

| Function | Global solution | The RCSA algo- rithm without RSS phase | The RCSA algo- rithm with RSS phase | Function | Global solution | The RCSA algo- rithm without RSS phase | The RCSA algo- rithm with RSS phase |
|----------|-----------------|--|---|----------|-----------------|--|---|
| F_1 | -450.0000 | -449.5842 | -450.0000 | F_{14} | -300.0000 | -299.7108 | -299.9610 |
| F_2 | -450.0000 | -450.0000 | -450.0000 | F_{15} | 120.0000 | 120.0000 | 120.0000 |
| F_3 | -450.0000 | -450.0000 | -450.0000 | F_{16} | 120.0000 | 122.9352 | 122.9287 |
| F_4 | -450.0000 | -450.0000 | -450.0000 | F_{17} | 120.0000 | 128.0572 | 121.0399 |
| F_5 | -310.0000 | -309.9890 | -310.0000 | F_{18} | 10.0000 | 300.0409 | 300.0342 |
| F_6 | 390.0000 | 390.0000 | 390.0000 | F_{19} | 10.0000 | 300.1341 | 300.1185 |
| F_7 | -180.0000 | -179.9994 | -180.0000 | F_{20} | 10.0000 | 300.3235 | 300.3200 |
| F_8 | -140.0000 | -139.99952 | -140.0000 | F_{21} | 360.0000 | 500.0374 | 500.0278 |
| F_9 | -330.0000 | -330.0000 | -330.0000 | F_{22} | 360.0000 | 700.8376 | 532.1342 |
| F_{10} | -330.0000 | -330.0000 | -330.0000 | F_{23} | 360.0000 | 463.0758 | 463.0758 |
| F_{11} | 90.0000 | 90.0000 | 90.0000 | F_{24} | 260.0000 | 293.7459 | 265.4191 |
| F_{12} | -460.0000 | -460.0000 | -460.0000 | F_{25} | 260.0000 | 394.8046 | 375.7108 |
| F_{13} | -130.0000 | -129.6139 | -129.9901 | | | | |

Table 4 Statistical results of RCSA for the overall test functions

| Function | Best | Mean | Median | Worst | SD | Ave. time (s) |
|----------|-----------|-----------|-----------|------------|-------------|---------------|
| F_1 | -450.0000 | -449.9974 | -450.0000 | -449.9574 | 8.1340E-3 | 0.6928095 |
| F_2 | -450.0000 | -450.0000 | -450.0000 | -449.99912 | 1.69680E-4 | 0.8602075 |
| F_3 | -450.0000 | -449.8758 | -449.9964 | -448.2176 | 3.6265E-1 | 1.7043 |
| F_4 | -450.0000 | -450.0000 | -450.0000 | -450.0000 | 1.5951E-5 | 0.9525 |
| F_5 | -310.0000 | -309.9935 | -309.8324 | -306.2820 | 8.2210E-1 | 1.7133 |
| F_6 | 390.0000 | -399.4495 | -389.1946 | -384.1468 | 1.4290 | 0.9599 |
| F_7 | -180.0000 | -180.0000 | -180.0000 | -179.9279 | 1.4658E-2 | 0.8569 |
| F_8 | -140.0000 | -140.0000 | -140.0000 | -139.9978 | 4.2917E-4 | 0.5137 |
| F_9 | -330.0000 | -330.0000 | -330.0000 | -330.0000 | 0.0000 | 0.5671 |
| F_{10} | -330.0000 | -330.0000 | -330.0000 | -330.0000 | 0.0000 | 0.8693 |
| F_{11} | 90.0000 | 90.0000 | 90.0000 | 90.0000 | 0.0000 | 0.5613 |
| F_{12} | -460.0000 | -460.0000 | -460.0000 | -460.0000 | 0.0000 | 0.6504 |
| F_{13} | -129.9901 | -129.7794 | -129.7607 | -129.6294 | 8.9215E-2 | 0.7215 |
| F_{14} | -299.9610 | -299.9289 | -299.9461 | -299.8408 | 3.9559E-2 | 1.2306 |
| F_{15} | 120.0000 | 120.0000 | 120.0000 | 120.0000 | 0.0000 | 0.9582 |
| F_{16} | 122.9287 | 122.9287 | 122.9287 | 122.9287 | 2.9032E-14 | 0.9965 |
| F_{17} | 121.0399 | 121.1665 | 121.0399 | 128.1268 | 9.4701E-1 | 1.1208 |
| F_{18} | 300.0342 | 300.0423 | 300.0423 | 300.1388 | 1.9347E-2 | 0.8273 |
| F_{19} | 300.1185 | 300.1185 | 300.1185 | 300.1185 | 0.0000 | 0.6134 |
| F_{20} | 300.3200 | 300.3209 | 300.32008 | 300.3235 | 1.7073E-3 | 1.2885 |
| F_{21} | 500.0278 | 5017.2094 | 500.0707 | 5039.4986 | 2.0850 | 1.8769 |
| F_{22} | 532.1342 | 580.0254 | 532.1342 | 651.8622 | 60.7131 | 1.8652 |
| F_{23} | 463.0758 | 463.0758 | 463.0758 | 463.0758 | 6.9618E-014 | 0.6875 |
| F_{24} | 265.4191 | 269.8181 | 265.4191 | 298.5889 | 10.5783 | 0.9856 |
| F_{25} | 375.7108 | 383.7492 | 384.0652 | 391.2666 | 1.9513 | 1.5698 |

Table 5 Comparison of RCSA with other recent algorithms (best results are given in bold)

| Function | PSO | IPOP-CMA-ES | CHC | SSGA | SS-BLX | SS-Arit | DE-Bin | DE-Exp | SaDE | Proposed RCSA |
|----------|----------|--------------|----------|----------|----------|----------|----------|----------|-----------|------------------|
| F_1 | 1.234E-4 | 0.000 | 2.464 | 8.420E-9 | 3.402E+1 | 1.064 | 7.716E-9 | 8.260E-9 | 8.416E-9 | 0.000 |
| | Rank 6 | Rank 1 | Rank 8 | Rank 5 | Rank 9 | Rank 7 | Rank 2 | Rank 3 | Rank 4 | Rank 1 |
| F_2 | 2.595E-2 | 0.000 | 1.180E-2 | 8.719E-5 | 1.730 | 5.282 | 8.342E-9 | 8.181E-9 | 8.208E-9 | 0.000 |
| | Rank 7 | Rank 1 | Rank 6 | Rank 5 | Rank 8 | Rank 9 | Rank 4 | Rank 2 | Rank 3 | Rank 1 |
| F_3 | 5.174E+4 | 0.000 | 2.699E+5 | 7.948E+4 | 1.844E+5 | 2.535E+5 | 4.233E+1 | 9.935E+1 | 6.560E++3 | 0.000 |
| | Rank 5 | Rank 1 | Rank 9 | Rank 6 | Rank 7 | Rank 8 | Rank 2 | Rank 3 | Rank 4 | Rank 1 |
| F_4 | 2.488 | 2.932E+3 | 9.190E+1 | 2.585E-3 | 6.228 | 5.755 | 7.686E-9 | 8.350E-9 | 8.087E-9 | 0.000 |
| | Rank 6 | Rank 10 | Rank 9 | Rank 5 | Rank 8 | Rank 7 | Rank 2 | Rank 4 | Rank 3 | Rank 1 |
| F_5 | 4.095E+2 | 8.104E-10 | 2.641E+2 | 1.343E+2 | 2.185 | 1.443E+1 | 8.608E-9 | 8.514E-9 | 8.640E-9 | 0.000 |
| | Rank 10 | Rank 2 | Rank 9 | Rank 8 | Rank 7 | Rank 6 | Rank 4 | Rank 3 | Rank 5 | Rank 1 |
| F_6 | 7.310E+2 | 0.000 | 1.416E+6 | 6.171 | 1.145E+2 | 4.945E+2 | 7.956E-9 | 8.391E-9 | 1.612E-2 | 0.000 |
| | Rank 8 | Rank 1 | Rank 9 | Rank 5 | Rank 6 | Rank 7 | Rank 2 | Rank 3 | Rank 4 | Rank 1 |
| F_7 | 2.678E+1 | 1.267E+3 | 1.269E+3 | 1.271E+3 | 1.966E+3 | 1.908E+3 | 1.266E+3 | 1.265E+3 | 1.263E+3 | 0.000 |
| | Rank 2 | Rank 6 | Rank 7 | Rank 8 | Rank 10 | Rank 9 | Rank 5 | Rank 4 | Rank 3 | Rank 1 |
| F_8 | 2.043E+1 | 2.001E+1 | 2.034E+1 | 2.037E+1 | 2.035E+1 | 2.036E+1 | 2.033E+1 | 2.038E+1 | 2.032E+1 | 0.000 |
| | Rank 10 | Rank 2 | Rank 5 | Rank 8 | Rank 6 | Rank 7 | Rank 4 | Rank 9 | Rank 3 | Rank 1 |
| F_9 | 1.438E+1 | 2.841E+1 | 5.886 | 7.286E-9 | 4.195 | 5.960 | 4.546 | 8.151E-9 | 8.330E-9 | 0.000 |
| | Rank 9 | Rank 10 | Rank 7 | Rank 2 | Rank 5 | Rank 8 | Rank 6 | Rank 3 | Rank 4 | Rank 1 |
| F_{10} | 1.404E+1 | 2.327E+1 | 7.123 | 1.712E+1 | 1.239E+1 | 2.179E+1 | 1.228E+1 | 1.118E+1 | 1.548E+1 | 0.000 |
| | Rank 6 | Rank 10 | Rank 2 | Rank 8 | Rank 5 | Rank 9 | Rank 4 | Rank 3 | Rank 7 | Rank 1 |
| F_{11} | 5.590 | 1.343 | 1.599 | 3.255 | 2.929 | 2.858 | 2.434 | 2.067 | 6.796 | 0.000 |
| | Rank 9 | Rank 2 | Rank 3 | Rank 8 | Rank 7 | Rank 6 | Rank 5 | Rank 4 | Rank 10 | Rank 1 |
| F_{12} | 6.362E+2 | 2.127E+2 | 7.062E+2 | 2.794E+2 | 1.506E+2 | 2.411E+2 | 1.061E+2 | 6.309E+1 | 5.634E+1 | 0.000 |
| | Rank 9 | Rank 6 | Rank 10 | Rank 8 | Rank 5 | Rank 7 | Rank 4 | Rank 3 | Rank 2 | Rank 1 |
| F_{13} | 1.503 | 1.134 | 8.297E+1 | 6.713E+1 | 3.245E+1 | 5.479E+1 | 1.573 | 6.403E+1 | 7.070E+1 | 0.0099 |
| | Rank 3 | Rank 2 | Rank 10 | Rank 8 | Rank 5 | Rank 6 | Rank 4 | Rank 7 | Rank 9 | Rank 1 |
| F_{14} | 3.304 | 3.775 | 2.073 | 2.264 | 2.796 | 2.970 | 3.073 | 3.158 | 3.415 | 0.0390 |
| | Rank 8 | Rank 10 | Rank 2 | Rank 3 | Rank 4 | Rank 5 | Rank 6 | Rank 7 | Rank 9 | Rank 1 |
| F_{15} | 3.398E+2 | 1.934E+2 | 2.751E+2 | 2.920E+2 | 1.136E+2 | 1.288E+2 | 3.722E+2 | 2.940E+2 | 8.423E+1 | 0.000 |
| | Rank 9 | Rank 5 | Rank 6 | Rank 7 | Rank 3 | Rank 4 | Rank 10 | Rank 8 | Rank 2 | Rank 1 |
| F_{16} | 1.333E+2 | 1.170E+2 | 9.729E+1 | 1.053E+2 | 1.041E+2 | 1.134E+2 | 1.117E+2 | 1.125E+2 | 1.227E+2 | 2.9287 |
| | Rank 10 | Rank 8 | Rank 2 | Rank 4 | Rank 3 | Rank 7 | Rank 5 | Rank 6 | Rank 9 | Rank 1 |
| F_{17} | 1.497E+2 | 3.389E+2 | 1.045E+2 | 1.185E+2 | 1.183E+2 | 1.279E+2 | 1.421E+2 | 1.312E+2 | 1.387E+2 | 1.0399 |
| | Rank 9 | Rank 10 | Rank 2 | Rank 4 | Rank 3 | Rank 5 | Rank 8 | Rank 6 | Rank 7 | Rank 1 |
| F_{18} | 8.512E+2 | 5.570E+2 | 8.799E+2 | 8.063E+2 | 7.668E+2 | 6.578E+2 | 5.097E+2 | 4.482E+2 | 5.320E+2 | 2.9003E+2 |
| | Rank 9 | Rank 5 | Rank 10 | Rank 8 | Rank 7 | Rank 6 | Rank 3 | Rank 2 | Rank 4 | Rank 1 |
| F_{19} | 8.497E+2 | 5.292E+2 | 8.798E+2 | 8.899E+2 | 7.555E+2 | 7.010E+2 | 5.012E+2 | 4.341E+2 | 5.195E+2 | 2.9011E+2 |
| | Rank 8 | Rank 5 | Rank 9 | Rank 10 | Rank 7 | Rank 6 | Rank 3 | Rank 2 | Rank 4 | Rank 1 |
| F_{20} | 8.509E+2 | 5.264E+2 | 8.960E+2 | 8.893E+2 | 7.463E+2 | 6.411E+2 | 4.928E+2 | 4.188E+2 | 4.767E+2 | 2.9032E+2 |
| | Rank 8 | Rank 5 | Rank 10 | Rank 9 | Rank 7 | Rank 6 | Rank 4 | Rank 2 | Rank 3 | Rank 1 |
| F_{21} | 9.138E+2 | 4.420E+2 | 8.158E+2 | 8.522E+2 | 4.851E+2 | 5.005E+2 | 5.240E+2 | 5.420E+2 | 5.140E+2 | 1.4002E+2 |
| | Rank 10 | Rank 2 | Rank 8 | Rank 9 | Rank 3 | Rank 4 | Rank 6 | Rank 7 | Rank 5 | Rank 1 |
| F_{22} | 8.071E+2 | 7.647E+2 | 7.742E+2 | 7.519E+2 | 6.828E+2 | 6.941E+2 | 7.715E+2 | 7.720E+2 | 7.655E+2 | 1.7213E+2 |
| | Rank 10 | Rank 5 | Rank 9 | Rank 4 | Rank 2 | Rank 3 | Rank 7 | Rank 8 | Rank 6 | Rank 1 |
| F_{23} | 1.028E+3 | 8.539E+2 | 1.075E+3 | 1.004E+3 | 5.740E+2 | 5.828E+2 | 6.337E+2 | 5.824E+2 | 6.509E+2 | 1.0307E+2 |
| | Rank 9 | Rank 7 | Rank 10 | Rank 8 | Rank 2 | Rank 4 | Rank 5 | Rank 3 | Rank 6 | Rank 1 |
| F_{24} | 4.120E+2 | 6.101E+2 | 2.959E+2 | 2.360E+2 | 2.513E+2 | 2.011E+2 | 2.060E+2 | 2.020E+2 | 2.000E+2 | 0.5419E+1 |
| | Rank 9 | Rank 10 | Rank 8 | Rank 6 | Rank 7 | Rank 3 | Rank 5 | Rank 4 | Rank 2 | Rank 1 |

Table 5 (continued)

| Function | PSO | IPOP-CMA-ES | CHC | SSGA | SS-BLX | SS-Arit | DE-Bin | DE-Exp | SaDE | Proposed RCSA |
|----------|----------|-------------|----------|----------|----------|----------|----------|----------|----------|------------------|
| F_{25} | 5.099E+2 | 1.818E+3 | 1.764E+3 | 1.747E+3 | 1.794E+3 | 1.804E+3 | 1.744E+3 | 1.742E+3 | 1.738E+3 | 1.1571E+2 |
| | Rank 2 | Rank 10 | Rank 7 | Rank 6 | Rank 8 | Rank 9 | Rank 5 | Rank 4 | Rank 3 | Rank 1 |

Table 6 Saving of fitness for the CEC 2005 test problems

| Function | Saving rate % | Function | Saving rate % |
|----------|---------------|----------|---------------|
| F_1 | 0.092485 | F_{14} | 0.08348 |
| F_2 | 0 | F_{15} | 0 |
| F_3 | 0 | F_{16} | 0.005287 |
| F_4 | 0 | F_{17} | 5.479817 |
| F_5 | 0.003549 | F_{18} | 0.002233 |
| F_6 | 0 | F_{19} | 0.005198 |
| F_7 | 0.000333 | F_{20} | 0.001165 |
| F_8 | 0.000343 | F_{21} | 0.00192 |
| F_9 | 0 | F_{22} | 24.07168 |
| F_{10} | 0 | F_{23} | 0 |
| F_{11} | 0 | F_{24} | 9.643301 |
| F_{12} | 0 | F_{25} | 4.836266 |
| F_{13} | 0.290247 | | |

Table 7 Wilcoxon test for comparison results in Table 5

| Compared methods | | Solution evaluations | | | |
|------------------|-------------|----------------------|-------|---------------|-------------|
| Algorithm 1 | Algorithm 2 | R^- | R^+ | ρ -value | Best method |
| RCSA | PSO | 276 | 0 | 0.000027 | RCSA |
| RCSA | IPOP-CMA-ES | 210 | 0 | 0.000089 | RCSA |
| RCSA | CHC | 300 | 0 | 0.000018 | RCSA |
| RCSA | SSGA | 231 | 0 | 0.000060 | RCSA |
| RCSA | SS-BLX | 325 | 0 | 0.000012 | RCSA |
| RCSA | SS-Arit | 325 | 0 | 0.000012 | RCSA |
| RCSA | DE-Bin | 210 | 0 | 0.000089 | RCSA |
| RCSA | DE-Exp | 190 | 0 | 0.000132 | RCSA |
| RCSA | SaDE | 190 | 0 | 0.000132 | RCSA |

$$S_{fitness} = \frac{F^O - F^{ORSS}}{F^O} \times 100 \tag{18}$$

where F^{ORSS} , F^O are the optimal objective value with and without RSS phase, respectively.

Table 6 demonstrates that there are a significant saving for the functions F_{17} , F_{22} , F_{24} , F_{25} and slight saving for the functions F_1 , F_5 , F_7 , F_8 , F_{13} , F_{14} , F_{16} , F_{18} – F_{21} . So, we conclude that the incorporating of the RSS phase improves the performance of the proposed RCSA algorithm through achieving a significant reduction in the optimal objective value as 44.5173% compared to the proposed approach without RSS phase.

In this subsection, a comparative study has been carried out to evaluate the performance of the proposed RCSA algorithm concerning the hybridization, closeness to optimal solution and computational time. On one hand, pure algorithms suffer from reaching an optimal solution in a reasonable time. Also, the sinking into premature convergence may be occurs in some of pure algorithms. Consequently, our hybridization algorithm has twofold features; avoiding the premature convergence and enclosing the optimum solution through using RSS phase by the means of the lower and upper approximations. On the other hand, the proposed RCSA algorithm is highly competitive when comparing it with the other methods in terms of the statistical measures. So the use of the hybrid approach has a great potential for solving global optimization problems.

6 Results and comparisons

In addition to the above measures and results, the proposed RCSA algorithm is compared with recently developed state-of-the-art methods such as PSO (Kennedy and Eberhart 1995), IPOP-CMA-ES (Auger and Hansen 2005), CHC (Eshelman 1991; Eshelman and Schaffer 1993), SSGA (Fernandes and Rosa 2001; Mühlenbein and Schlierkamp-Voosen 1993), SS-BLX (Herrera et al. 2006), SS-Arit (Laguna and Marti 2003), DE-Bin (Price et al. 2005) and SaDE (Qin and Suganthan 2005) as in Table 5. The comparisons indicate that the RCSA outperforms all other algorithms in terms of the average error except for the F_{24} .

Furthermore, the rank of the average error for different algorithms of each test function is reported, where the best value for the test function takes rank 1, worst value takes rank 10 and the other values are ranked between 1 and 10. As indicated from Table 5, we can say that the proposed RCSA algorithm surpasses all other algorithms on average.

Beside the use of the statistical measures for algorithm validations such as comparison of the proposed RCSA algorithm with other recent algorithms in terms of calculating the average error as well as calculations of best, mean and median results, we additionally apply saving of the fitness, $S_{fitness}$, in case of incorporating the RSS phase and without it. $S_{fitness}$ is calculated as follows:

6.1 Performance assessment

This section is devoted to assess the performance of the proposed algorithm using the Wilcoxon signed ranks test. The Wilcoxon signed ranks test is a nonparametric procedure used in a hypothesis testing situation involving a design with two samples (Joaquín et al. 2001). It is a pair-wise test that aims to detect significant differences between the behaviors of two methods. It is associated with ρ -value, where ρ is the probability of the null hypothesis being true. The result of the test is returned in $\rho < 0.05$ indicates a rejection of the null hypothesis, while $\rho > 0.05$ indicates a failure to reject the null hypothesis. The R^+ is the sum of positive ranks, while R^- is the sum of negative ranks. In Table 7, we present the results of the Wilcoxon signed-rank test for RCSA compared against PSO, IPOP-CMA-ES, CHC, SSGA, SS-BLX, SS-Arit, DE-Bin, DE-Exp and SaDE. We can conclude from Table 7 that the proposed RCSA is a significant algorithm and it is better than the other algorithms.

6.2 Large-scale test functions

To assess the performance of the proposed algorithm, we apply the proposed algorithm on a large-scale test functions for 1000 dimension. The large-scale test functions were proposed in the IEEE CEC 2010 (Tang et al. 2009) and also used in IEEE CEC 2012. Due to space limitation, the proposed algorithm is tested on five test functions of the IEEE CEC 2010.

In the other hand the proposed algorithm is compared with seven different algorithms, where the results of the seven comparative algorithms are taken from Gaoji et al. (2016). The selected compared algorithms are defined as follows: joint operations algorithm (JOA) (Gaoji et al. 2016), free search (FS) (Penev 2014), social-based algorithm (SBA) (Ramezani and Lotfi 2013), particle swarm optimizer with a diversity enhancing mechanism and neighborhood search strategies (DNSPSO) (Hui et al. 2013), dynamic multi-swarm particle swarm optimizer with a cooperative learning strategy (D-PSO-C) (Xu et al. 2015), dynamic group-based differential evolution (GDE) (Han et al. 2013) and sinusoidal differential evolution (SinDE) (Draa et al. 2015).

The results for large-scale test functions are reported in Table 8, where the best, worst, mean and standard deviation (Std.) are reported over 20 runs. From Table 8, it can be noted that RCSA outperforms the other algorithm in the view of statistical measures.

6.3 Engineering design problems

This section is devoted to validate the proposed RCSA for solving engineering design problems. Since these design problems involve different constraints, so the penalty function method is employed (Coello Coello 2002). By employing the penalty method, the constrained optimization problem can be converted to an unconstrained one and then the proposed RCSA algorithm can be implemented.

Table 8 Comparison among different algorithms on CEC 2010 functions

| Fun. | Metric | FS | SBA | DNSPSO | D-PSO-C | GDE | SinDE | JOA | RCSA |
|-------|--------|---------|---------|---------|---------|---------|---------|----------|-----------|
| f_1 | Best | 1.04E9 | 2.13E6 | 7.24E5 | 2.19E5 | 5.71E5 | 6.88E-7 | 1.54E-21 | 4.0389E-7 |
| | Worst | 1.58E9 | 2.80E6 | 9.37E6 | 1.02E6 | 1.86E7 | 3.16E-1 | 4.87E-17 | 3.1648E-5 |
| | Mean | 1.28eE9 | 2.43E6 | 3.18E6 | 6.12E5 | 5.07E6 | 2.18E-2 | 3.45E-19 | 1.0374E-5 |
| | Std. | 8.44E7 | 1.92E5 | 2.69E6 | 1.88E5 | 5.18E6 | 8.14E-2 | 1.26E-18 | 1.2513E-5 |
| f_2 | Best | 9.02E3 | 7.92E3 | 6.01E3 | 1.26E3 | 6.45E3 | 1.20E3 | 7.51E2 | 4.7726E-4 |
| | Worst | 1.38E4 | 8.99E3 | 6.85E3 | 2.14E3 | 7.20E3 | 1.41E3 | 9.63E2 | 1.6704 |
| | Mean | 1.01E4 | 8.26E3 | 6.46E3 | 1.68E3 | 6.93E3 | 1.31E3 | 8.42E2 | 0.3580 |
| | Std. | 3.42E2 | 1.86E2 | 1.67E2 | 2.40E2 | 2.32E2 | 7.16E1 | 6.62E1 | 7.345E1 |
| f_3 | Best | 2.06E1 | 1.90E1 | 1.83E1 | 1.14E1 | 1.93E1 | 2.25E0 | 2.16E0 | 7.5E-3 |
| | Worst | 2.11E1 | 1.96E1 | 1.95E1 | 1.59E1 | 2.02E1 | 2.76E0 | 2.72E0 | 3.42E-2 |
| | Mean | 2.09E1 | 1.95E1 | 1.93E1 | 1.33E1 | 1.96E1 | 2.48E0 | 2.47E0 | 1.85E-2 |
| | Std. | 1.94E-2 | 4.36E-2 | 1.30E-1 | 1.30E0 | 2.52E-1 | 3.43E-1 | 3.16E-1 | 1.19E-2 |
| f_4 | Best | 1.48E12 | 2.08E11 | 9.76E11 | 3.72E12 | 7.60E11 | 1.18E12 | 1.41E11 | 8.3322E10 |
| | Worst | 2.69E12 | 6.10E11 | 8.99E12 | 1.96E13 | 1.82E12 | 3.25E12 | 3.23E11 | 5.6982E11 |
| | Mean | 2.04E12 | 3.71E11 | 2.46E12 | 8.16E12 | 1.34E12 | 1.71E12 | 2.45E11 | 3.1231E11 |
| | Std. | 2.85E11 | 1.12E11 | 2.02E12 | 5.19E12 | 3.32E11 | 6.29E11 | 7.58E10 | 1.4524E11 |
| f_5 | Best | 9.15E7 | 2.74E8 | 1.49E8 | 5.21E7 | 7.36E7 | 4.08E7 | 3.88E7 | 1.2018E3 |
| | Worst | 1.46E8 | 4.03E8 | 3.99E8 | 3.51E8 | 2.02E8 | 6.67E7 | 6.87E7 | 6.3036E3 |
| | Mean | 1.11E8 | 3.32E8 | 2.63E8 | 2.95E8 | 1.26E8 | 5.44E7 | 4.85E7 | 4.5977E3 |
| | Std. | 1.42E7 | 4.43E7 | 5.82E7 | 9.70E7 | 4.03E7 | 7.43E6 | 1.17E7 | 2.9409E3 |

6.3.1 Himmelblau's design problem

The Himmelblau design problem was originally proposed by Himmelblau (1972) and it has been considered as a benchmark non-linear constrained optimization problem. On the other hand many authors have been tested another variation of this problem (named as version II) (Omran and Salman 2009), where a parameter 0.0006262 has been taken as 0.00026 (typeset bold in the constraint g_1). These problems can be formally defined as follows:

Version I:

$$\text{Min } F(\mathbf{x}) = 5.3578547x_3^2 + 0.8356891x_1x_5 + 37.293239x_1 - 40792.141$$

subject to:

$$\begin{aligned} g_1(\mathbf{x}) &= 85.334407 + 0.0056858x_2x_5 + \mathbf{0.0006262}x_1x_4 - 0.002205x_3x_5 \\ g_2(\mathbf{x}) &= 80.51249 + 0.0071317x_2x_5 + 0.0029955x_1x_2 + 0.0021813x_3^2 \\ g_3(\mathbf{x}) &= 9.300961 + 0.0047026x_3x_5 + 0.0012547x_1x_3 + 0.00190853x_3x_4 \\ 0 \leq g_1(\mathbf{x}) \leq 92, 90 \leq g_2(\mathbf{x}) \leq 110, 20 \leq g_3(\mathbf{x}) \leq 25, \\ 78 \leq x_1 \leq 102, 33 \leq x_2 \leq 45, 27 \leq x_i \leq 45, \quad i = 3, 4, 5. \end{aligned} \tag{19}$$

Version II:

$$\text{Min } F(\mathbf{x}) = 5.3578547x_3^2 + 0.8356891x_1x_5 + 37.293239x_1 - 40792.141$$

subject to:

$$\begin{aligned} g_1(\mathbf{x}) &= 85.334407 + 0.0056858x_2x_5 + \mathbf{0.00026}x_1x_4 - 0.002205x_3x_5 \\ g_2(\mathbf{x}) &= 80.51249 + 0.0071317x_2x_5 + 0.0029955x_1x_2 + 0.0021813x_3^2 \\ g_3(\mathbf{x}) &= 9.300961 + 0.0047026x_3x_5 + 0.0012547x_1x_3 + 0.00190853x_3x_4 \\ 0 \leq g_1(\mathbf{x}) \leq 92, 90 \leq g_2(\mathbf{x}) \leq 110, 20 \leq g_3(\mathbf{x}) \leq 25, \\ 78 \leq x_1 \leq 102, 33 \leq x_2 \leq 45, 27 \leq x_i \leq 45, \quad i = 3, 4, 5. \end{aligned} \tag{20}$$

Table 9 Statistical results for the Himmelblau's problem

| Version | Methods | Best | Median | Mean | Worst | Std. |
|---------|---------------------------|----------------|----------------|----------------|----------------|-----------|
| I | Deb (2000) | -30,665.537 | -30,665.535 | NA | -29,846.654 | NA |
| | He et al. (2004) | -30,665.539 | NA | -30,643.989 | NA | 70.043 |
| | Lee and Geem (2005) | -30,665.500 | NA | NA | NA | NA |
| | Dimopoulos (2007) | -30,665.54 | NA | NA | NA | NA |
| | Gandomi et al. (2013) | -30,665.2327 | NA | NA | NA | 11.6231 |
| | Mehta and Dasgupta (2012) | -30,665.538741 | NA | NA | NA | NA |
| | Proposed RCSA | -30,665.545314 | -30,665.545314 | -30,665.544377 | -30,665.542970 | 0.001283 |
| II | Omran and Salman (2009) | -31,025.55626 | NA | -31,025.556264 | NA | NA |
| | Coello (2000) | -31,020.859 | -31,017.21369 | -30,984.240703 | -30,792.407737 | 73.633536 |
| | Fesanghary et al. (2008) | -31,024.3166 | NA | NA | NA | NA |
| | Hu et al. (2003) | -31,025.56142 | NA | -31,025.561420 | NA | 0 |
| | Proposed RCSA | -31,025.568575 | -31,025.568512 | -31,025.559812 | -31,025.507752 | 0.018780 |

NA not available

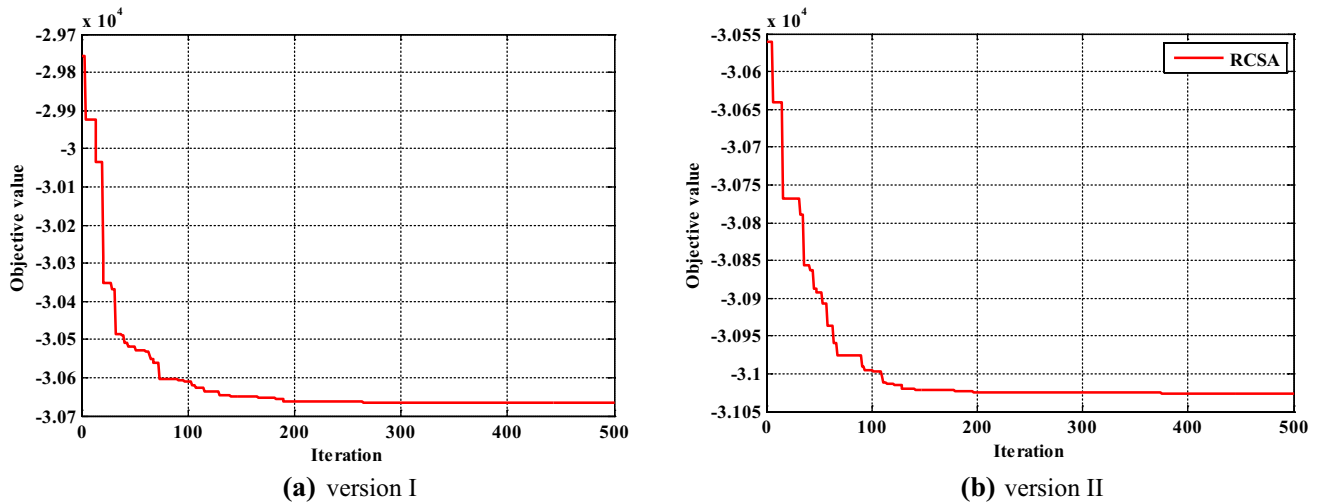


Fig. 6 Convergence curves for the Himmelblau's design problem

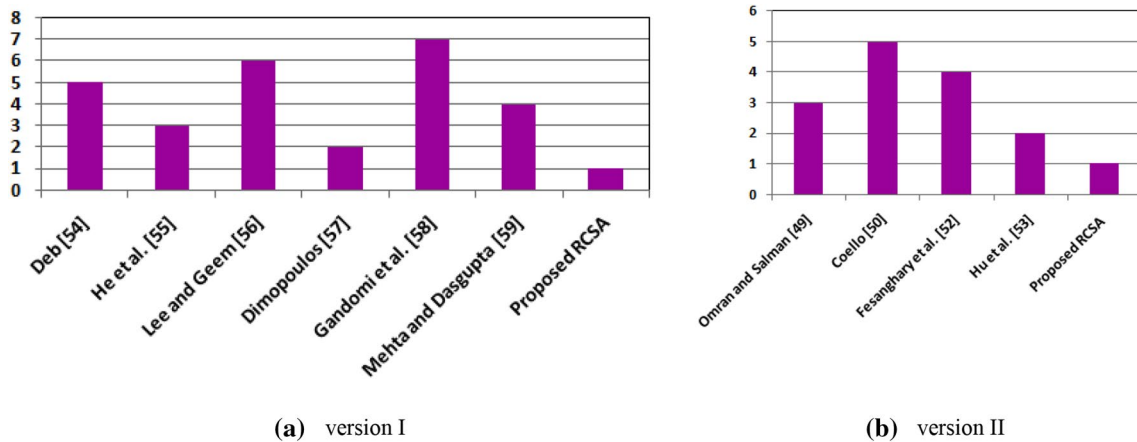


Fig. 7 Ranking of the best solutions for the Himmelblau's design problem

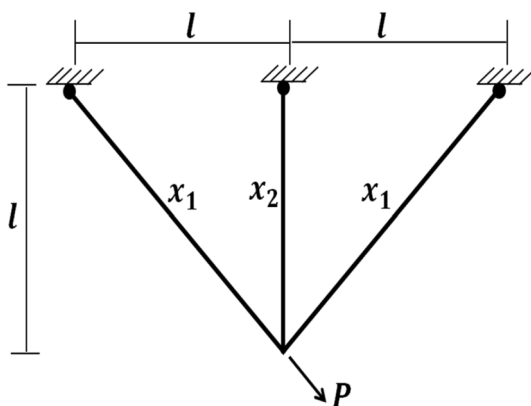


Fig. 8 Architecture of three-bar truss design problem

mean, worst and the standard deviation (Std.) obtained by 30 runs, where the optimal solution for the version I is $x = [78.000122 \ 33.000129 \ 29.995023 \ 44.999358 \ 36.776087]$ and the objective function value is $-30,665.545314$. On the other hand the optimal solution for the version II is $x = [78.000094 \ 33.000075 \ 27.070838 \ 44.999957 \ 44.969327]$ with the objective function value is $-31,025.568575$. Based on the depicted comparisons in Table 9, we can see that the proposed RCSA algorithm outperforms the other methods, where it gives better solutions for the two versions than the other algorithms.

Figure 6 illustrates the convergence curves for the best objective value obtained by the proposed RCSA algorithm for the two versions of the Himmelblau design problem. It can be seen the convergence curve rapidly convergent to

Table 10 Comparison between the proposed RCSA and different algorithms for three-bar truss design problem

| Algorithm | Best | Mean | Median | Worst | SD |
|---------------------|----------------------|----------------------|----------------------|----------------------|----------------|
| Proposed RCSA | 263.895843376 | 263.895843377 | 263.895843378 | 263.895843378 | 8.0468107E-010 |
| Hui et al. (2010) | 263.89584338 | 263.89584338 | NA | 263.89584338 | 4.5E-10 |
| Ray and Liew (2003) | 263.89584654 | 263.90335672 | NA | 263.96975638 | 1.3E-02 |

the optimal solution in less than 300 iterations for version I and less than 200 iterations for version II.

Figure 7 provides the ranks for the different algorithms, where the best value takes the order one; the second best takes the order two; and so on. As shown from Fig. 7, we can see that the proposed RCSA algorithm gives the better rank over all other algorithms.

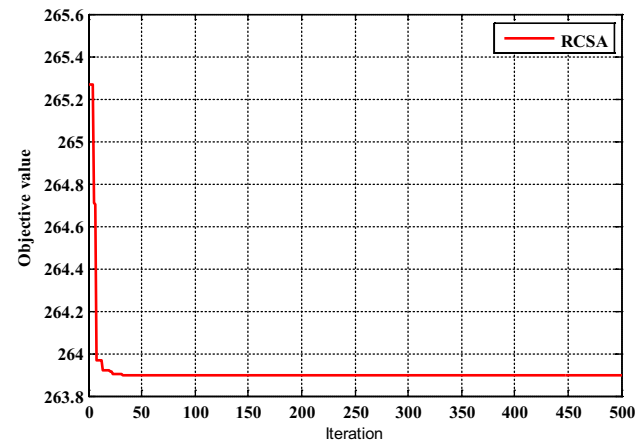


Fig. 9 Convergence behavior of the RCSA of three-bar truss design problem

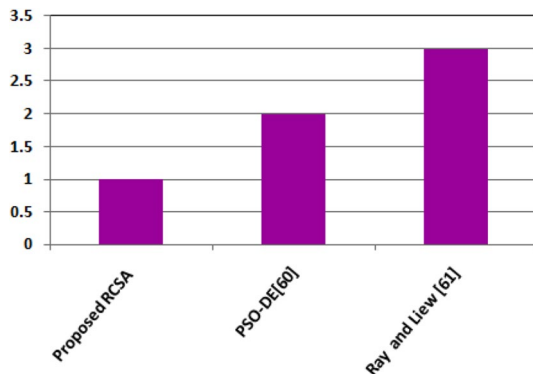


Fig. 10 Ranking of the optimum solutions for the three-bar truss design problem

6.3.2 Three-bar truss design problem

The three-bar truss design problem is to minimize the volume of a statistically loaded three-bar truss as the objective function and subject to stress (σ) constraints on each of the truss members by adjusting cross sectional areas (x_1 and x_2). The schematic of three-bar truss design problem is depicted in Fig. 8. This optimization problem is defined as follows:

$$\text{Min } F(\mathbf{x}) = (2\sqrt{2}x_1 + x_2) \times l$$

subject to:

$$g_1(\mathbf{x}) = \frac{\sqrt{2}x_1 + x_2}{\sqrt{2x_1^2 + 2x_1x_2}} P - \sigma \leq 0$$

$$g_2(\mathbf{x}) = \frac{\sqrt{2}x_1 + x_2}{\sqrt{2x_1^2 + 2x_1x_2}} P - \sigma \leq 0$$

$$g_3(\mathbf{x}) = \frac{\sqrt{2}x_1 + x_2}{\sqrt{2x_1^2 + 2x_1x_2}} P - \sigma \leq 0$$

$$0 \leq x_1, x_2 \leq 1, l = 100 \text{ cm}, P = 2 \text{ kN/cm}^2, \sigma = 2 \text{ kN/cm}^2. \tag{21}$$

The results of the proposed algorithm are obtained for three-bar truss design problem. The proposed RCSA yields the optimal solution and constraints value as follow: $\mathbf{x} = [0.7886751333, 0.4082482940]$ and $g(\mathbf{x}) = [0, -1.4641016110, -0.5358983889]$. In addition, the comparisons between the proposed RCSA algorithm and other different algorithms (i.e., PSO-DE; Hui et al.

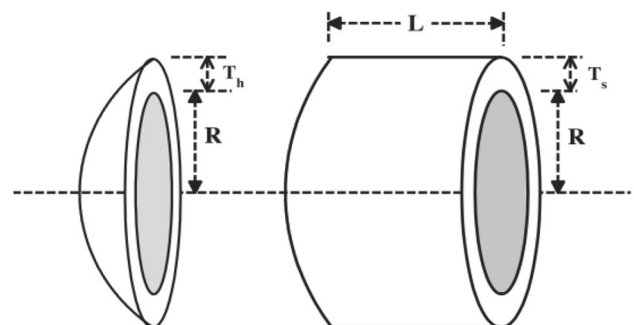


Fig. 11 Architecture of pressure vessel design problem

Table 11 Statistical results of different methods for pressure vessel

| Method | Best | Mean | Worst | SD | Median |
|-----------------------------|-------------|-------------|-------------|-----------|-------------|
| Sandgren (1988) | 8129.1036 | N/A | N/A | N/A | NA |
| Kannan and Kramer (1994) | 7198.0428 | N/A | N/A | N/A | NA |
| Deb and Gene (1997) | 6410.3811 | N/A | N/A | N/A | NA |
| Coello (2000) | 6288.7445 | 6293.8432 | 6308.1497 | 7.4133 | NA |
| Coello and Montes (2002) | 6059.9463 | 6177.2533 | 6469.3220 | 130.9297 | NA |
| He and Wang (2007) | 6061.0777 | 6147.1332 | 6363.8041 | 86.4545 | NA |
| Montes and Coello (2008) | 6059.7456 | 6850.0049 | 7332.8798 | 426.0000 | NA |
| Kaveh and Talatahari (2010) | 6059.7258 | 6081.7812 | 6150.1289 | 67.2418 | NA |
| Kaveh and Talatahari (2009) | 6059.0925 | 6075.2567 | 6135.3336 | 41.6825 | NA |
| Gandomi et al. (2013) | 6059.714 | 6447.7360 | 6495.3470 | 502.693 | NA |
| Cagnina et al. (2008) | 6059.714335 | 6092.0498 | NA | 12.1725 | NA |
| Coello Coello et al. (2010) | 6059.7208 | 6440.3786 | 7544.4925 | 448.4711 | 6257.5943 |
| He et al. (2004) | 6059.7143 | 6289.92881 | NA | 305.78 | NA |
| Akay and Karaboga (2012) | 6059.714339 | 6245.308144 | NA | 205 | NA |
| Garg (2014) | 5885.403282 | 5887.557024 | 5895.126804 | 2.745290 | 5886.14928 |
| Proposed RCSEA | 6059.606944 | 6059.844857 | 6061.034418 | 0.0582763 | 6059.606944 |

NA not available

Table 12 The optimal design variables with their objective values

| Method | x_1 | x_2 | x_3 | x_4 | $F(\mathbf{x})$ |
|-----------------------------|-----------|-----------|------------|-------------|-----------------|
| Sandgren (1988) | 1.125000 | 0.625000 | 47.700000 | 117.701000 | 8129.1036 |
| Kannan and Kramer (1994) | 1.125000 | 0.625000 | 58.291000 | 43.690000 | 7198.0428 |
| Deb and Gene (1997) | 0.937500 | 0.500000 | 48.329000 | 112.67900 | 6410.3811 |
| Coello (2000) | 0.812500 | 0.437500 | 40.323900 | 200.000000 | 6288.7445 |
| Coello and Montes (2002) | 0.812500 | 0.437500 | 42.097398 | 176.654050 | 6059.946 |
| He and Wang 2007 | 0.812500 | 0.437500 | 42.091266 | 176.746500 | 6061.0777 |
| Montes and Coello (2008) | 0.812500 | 0.437500 | 42.098087 | 176.640518 | 6059.7456 |
| Kaveh and Talatahari (2010) | 0.812500 | 0.437500 | 42.103566 | 176.573220 | 6059.0925 |
| Kaveh and Talatahari (2009) | 0.812500 | 0.437500 | 42.098353 | 176.637751 | 6059.7258 |
| Zhang and Wang (1993) | 1.125000 | 0.625000 | 58.290000 | 43.6930000 | 7197.7000 |
| Cagnina et al. (2008) | 0.812500 | 0.437500 | 42.098445 | 176.6365950 | 6059.714335 |
| Coello Coello et al. (2010) | 0.812500 | 0.437500 | 42.098400 | 176.6372000 | 6059.7208 |
| He et al. (2004) | 0.812500 | 0.437500 | 42.098445 | 176.6365950 | 6059.7143 |
| Lee and Geem (2005) | 1.125000 | 0.625000 | 58.278900 | 43.75490000 | 7198.433 |
| Montes et al. (2007) | 0.812500 | 0.437500 | 42.098446 | 176.6360470 | 6059.701660 |
| Hu et al. (2003) | 0.812500 | 0.437500 | 42.098450 | 176.6366000 | 6059.7151717976 |
| Gandomi et al. (2013) | 0.812500 | 0.437500 | 42.0984456 | 176.6365958 | 6059.7143348 |
| Akay and Karaboga (2012) | 0.812500 | 0.437500 | 42.098446 | 176.636596 | 6059.714339 |
| Garg (2014) | 0.7781977 | 0.3846656 | 40.3210545 | 199.9802367 | 5885.4032828 |
| Proposed RCSEA | 0.812500 | 0.437500 | 42.100204 | 176.614800 | 6059.606944 |

NA not available

2010; Ray and Liew 2003) are presented in Table 10. Table 10 demonstrates that the proposed algorithm outperform the other algorithms in terms of quality of the obtained solution, where the better solution among all

algorithm is highlighted in boldface. Therefore, it can be concluded that the proposed RCSEA algorithm is a good alternative algorithm for design problems. Further, the convergence behavior of the RCSEA for obtaining the best

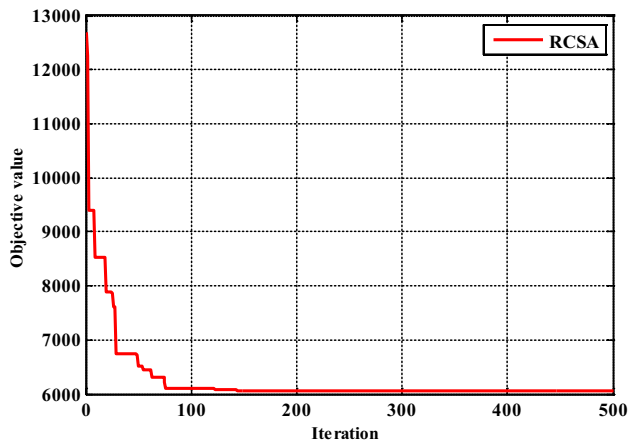


Fig. 12 Convergence behavior of the pressure vessel design problem

objective value of the three-bar truss design problem is illustrated in Fig. 9. We can see that the proposed algorithm converges rapidly to the optimal solution in less than 40 iterations.

Beside these results, it can see that the proposed RCSA provides the better result than all other algorithms and then its result comes in the first rank, while PSO-DE provides the second best solution as the second rank result, then Ray and Liew comes in third rank. In general, the results of these algorithms are depicted according these ranks in Fig. 10. Figure 10 illustrates that the proposed RCSA algorithm finds the better rank over all other algorithms.

6.3.3 Pressure vessel design problem

The goal of the pressure vessel design is to minimize the total cost (i.e., the cost of material, forming and welding) (Sandgren 1988) of a cylindrical vessel that is capped at both ends by hemi-spherical heads as shown in Fig. 11. Using rolled steel plate, the shell is made in two halves that are joined by two longitudinal welds to form a cylinder. There are four design variable associated with it, namely the thickness of the pressure vessel, $T_s = x_1$, thickness of the head, $T_h = x_2$, inner radius of the vessel, $R = x_3$, and length of the vessel without heads, $L = x_4$, i.e., the variable vectors are

Fig. 13 Ranking of the optimum solutions for the pressure vessel design problem

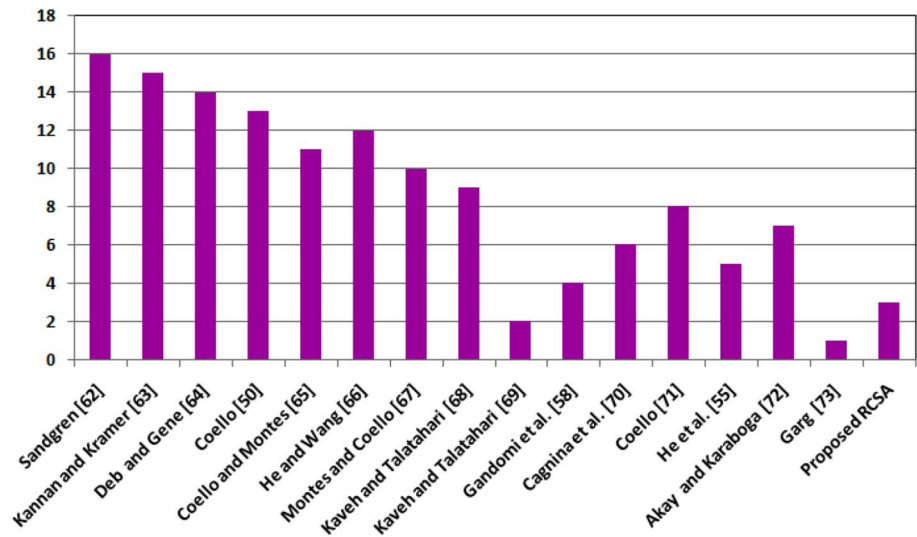


Fig. 14 Schematic of the speed reducer design problem

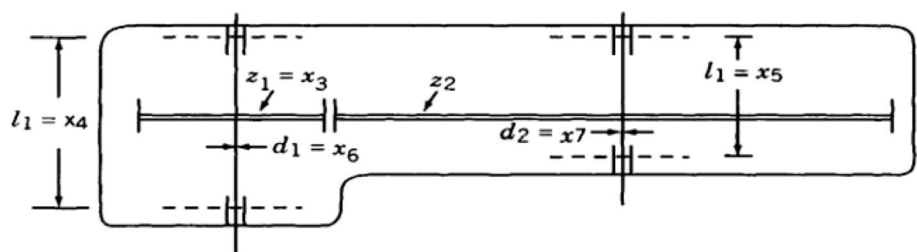


Table 13 Comparing of the speed reducer design problem results of RCSA with other algorithms

| Algorithm | Best | Mean | Median | Worst | SD |
|-------------------------|-------------|-------------|-------------|-------------|---------|
| Proposed RCSA | 2994.381855 | 2994.381855 | 2994.381855 | 2994.381855 | 0.0000 |
| Hui et al. (2010) | 2996.348167 | 2996.348174 | NA | 2996.348204 | 6.4E-06 |
| Ray and Liew (2003) | 2994.744241 | 3001.758264 | NA | 3009.964736 | 4.0E+00 |
| Rao and Xiong (2005) | 3000.959715 | NA | NA | NA | NA |
| Cagnina et al. (2008) | 2996.347849 | NA | NA | NA | NA |
| Tosserams et al. (2007) | 2996.645783 | NA | NA | NA | NA |
| Lu and Kim (2010) | 3019.583365 | NA | NA | NA | NA |

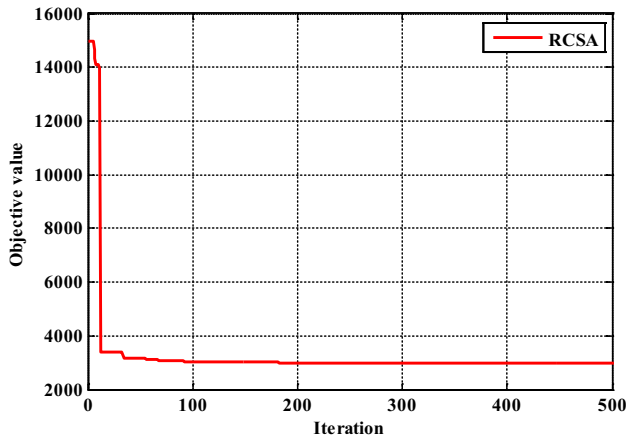


Fig. 15 Convergence behavior of the speed reducer design problem

given (in inches) by $\mathbf{x} = (T_s, T_h, R, L_s) = (x_1, x_2, x_3, x_4)$. Then, the problem is formulated mathematically as follows:

Min $F(\mathbf{x}) = 0.6224x_1x_3x_4 + 1.7781x_2x_3^2 + 3.1661x_1^2x_4 + 19.84x_1^2x_3$
 subject to:

$$\begin{aligned}
 g_1(\mathbf{x}) &= -x_1 + 0.0193x_3 \leq 0, \\
 g_2(\mathbf{x}) &= -x_3 + 0.00954x_3 \leq 0, \\
 g_3(\mathbf{x}) &= -\pi x_3^2 x_4 - \frac{4}{3}\pi x_3^3 + 1, 296, 000 \leq 0, \\
 g_4(\mathbf{x}) &= x_4 - 240 \leq 0, \\
 1 \times 0.0625 &\leq x_1, x_2 \leq 99 \times 0.0625, 10 \leq x_3, x_4 \leq 99 \times 200.
 \end{aligned}
 \tag{22}$$

The optimal solution obtained by implementing the proposed RCSA algorithm is $\mathbf{x} = (0.8125 \ 0.437500 \ 42.100204 \ 176.614800)$ with corresponding function value equal to $f(\mathbf{x}) = 6059.606944$ and in addition the constraints are calculated (i.e., $[g_1 \ g_2 \ g_3 \ g_4] = [3.394885E - 5 \ -0.037548 \ -0.000278 \ -63.385199]$). Table 11 outlines the statistical results of the

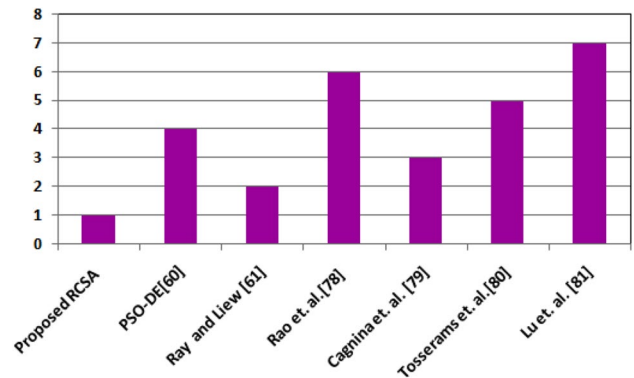


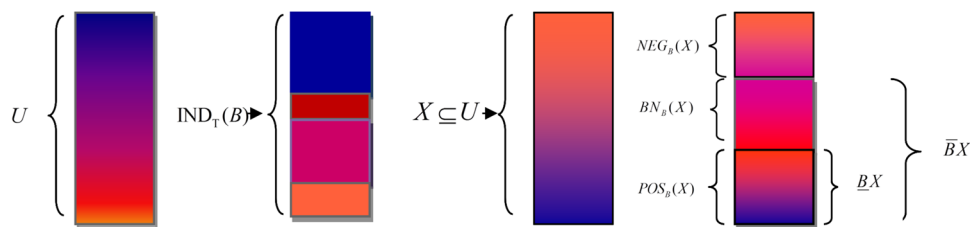
Fig. 16 Ranking of the optimum solutions for the speed reducer design problem

proposed RCSA algorithm in terms of obtaining the best, mean, worst, std. and median over 30 run. Moreover these results are compared with other algorithms (Sandgren 1988; Kannan and Kramer 1994; Deb and Gene 1997; Coello and Montes 2002; He and Wang 2007; Montes and Coello 2008; Kaveh and Talatahari 2010, 2009; Cagnina et al. 2008; Coelho 2010; Akay and Karaboga 2012; Garg 2014; Zhang and Wang 1993; Montes et al. 2007). Table 11 shows that the proposed RCSA algorithm outperforms the other optimization algorithms. Although the produced solution by Garg (2014) is better than the proposed RCSA algorithm, the solution of design variables are violated with the design variables restrictions (i.e., x_1 is discrete).

Table 12 provides the comparisons between the proposed RCSA algorithm and other algorithms in terms of finding the optimal design variables with their corresponding function value. We can see that the produced solution of the design variables by Garg (2014) are violated with the design variables restrictions. However, the proposed RCSA algorithm provides better objective function than those provided by the literature. Table 12 indicates that the proposed RCSA algorithm is more robust than the other methods.

The convergence curve of the proposed RCSA algorithm for the pressure vessel design problem is provided Fig. 12. The convergence behavior indicates that the proposed

Fig. 17 Definitions regarding rough set approximations



RCSA algorithm finds the optimal solution in less than 150 iterations.

From Fig. 13, we see that the proposed RCSA algorithm takes the order three, but Garg (2014) and Kaveh and Talatahari (2009) take the order one and two respectively. On the hand, the Garg (2014) violates the bound restriction for the variable x_1 and Kaveh and Talatahari (2009) provides the value of constraint as $g_1 = 9.9E-5$ which is greater than that provides by the proposed RCSA algorithm. Consequently, the proposed RCSA algorithm is still better for this problem.

6.3.4 Speed reducer design problem

The main goal of the speed reducer design problem is to minimize the total weight of the speed reducer while satisfying some constraints. This design problem has a rather difficult to detect feasible space as reported in Golinski (1973), where the constraints include limitations on the bending stress of gear teeth, surface stress, transverse deflections of shafts 1 and 2 due to transmitted force, and stresses in shafts 1 and 2. Figure 14 shows the schematic shape of the speed reducer design problem in which seven unknown of design variables is showed, where the design of the speed reducer is considered by the face width, $b = x_1$, module of teeth, $m = x_2$, number of teeth on pinion, $z = x_3$, length of shaft 1 between bearings, $l_1 = x_4$, length of shaft 2 between bearings, $l_2 = x_5$, diameter of shaft 1, $d_1 = x_6$, and diameter of shaft 2 $d_2 = x_7$ i.e., the variable vectors are given by $\mathbf{x} = (b, m, z, l_1, l_2, d_1, d_2) = (x_1, x_2, x_3, x_4, x_5, x_6, x_7)$. Then, the problem is formulated mathematically as follows:

$$\begin{aligned} \text{Min } F(\mathbf{x}) = & 0.7854x_1x_2^2(3.3333x_3^2 + 14.9334x_3 - 43.0934) \\ & - 1.508x_1(x_6^2 + x_7^2) + 7.4777(x_6^3 + x_7^3) \\ & + 0.7854(x_4x_6^2 + x_5x_7^2) \end{aligned}$$

subject to:

$$g_1(\mathbf{x}) = \frac{27}{x_1x_2^2x_3} - 1 \leq 0,$$

$$g_2(\mathbf{x}) = \frac{397.5}{x_1x_2^2x_3^2} - 1 \leq 0,$$

$$g_3(\mathbf{x}) = \frac{1.93x_4^3}{x_2x_3x_6^4} - 1 \leq 0,$$

$$g_4(\mathbf{x}) = \frac{1.93x_5^3}{x_2x_3x_7^4} - 1 \leq 0,$$

$$g_5(\mathbf{x}) = \frac{\sqrt{\left(\frac{745x_4}{x_2x_3}\right)^2 + 16.9(10^6)}}{110x_6^3} - 1 \leq 0,$$

$$g_6(\mathbf{x}) = \frac{\sqrt{\left(\frac{745x_5}{x_2x_3}\right)^2 + 157.5(10^6)}}{85x_7^3} - 1 \leq 0,$$

$$g_7(\mathbf{x}) = \frac{x_2x_3}{40} - 1 \leq 0,$$

$$g_8(\mathbf{x}) = \frac{5x_2}{x_1} - 1 \leq 0,$$

$$g_9(\mathbf{x}) = \frac{x_1}{12x_2} - 1 \leq 0,$$

$$g_{10}(\mathbf{x}) = \frac{1.5x_6 + 1.9}{x_4} - 1 \leq 0,$$

$$g_{10}(\mathbf{x}) = \frac{1.1x_7 + 1.9}{x_5} - 1 \leq 0,$$

$$2.6 \leq x_1 \leq 3.6, 0.7 \leq x_2 \leq 0.8, 17 \leq x_3 \leq 28,$$

$$7.3 \leq x_4, x_5 \leq 8.3, 2.9 \leq x_6 \leq 3.9, 5 \leq x_7 \leq 5.5. \tag{23}$$

The best objective value obtained by proposed RCSA algorithm is $F(\mathbf{x}) = 2994.381855$ and the optimal solution of the design variables are $\mathbf{x} = (3.500006 \ 0.700001 \ 17.000000 \ 7.300562 \ 7.715339 \ 3.350260 \ 5.286657)$. Table 13 provides the best solution obtained by RCSA for speed reducer design problem over 30 independent runs, where the comparison of the statistical results obtained by RCSA and other algorithms is shown. As we can see, the results show that the proposed RCSA algorithm produces promising results in comparison with the other methods of the speed reducer design problem in terms of obtaining the best, mean, median and the worst. In addition the minimal value of standard deviation (Std.) denotes the high robustness of RCSA. From Fig. 15 demonstrates the convergence behavior of the proposed RCSA algorithm where it finds the optimal solution in less than 200 iterations. On the other hand Fig. 16 provides the comparisons of ranks for different algorithms, where the proposed algorithm gives the better rank thus it outperforms the other algorithms.

7 Conclusions

We concluded that the integrated RCSA has improved the quality of the found solutions and also guaranteed the faster converge to the optimal solution. In the RCSA, CSA phase is presented in the first stage to provide the initial optimal solution of the optimization problem while the RSS phase is introduced as a second stage to enhance the exploitation search. However the flight length of the traditional CSA is fixed, it may produce unsatisfactory solution. So a dynamic flight length behavior is introduced with the aim of eliciting values from the interval $[f_{l_{\min}}, f_{l_{\max}}]$ to enhance the exploration process. The proposed RCSA algorithm is investigated on 30 benchmark problems of IEEE CEC 2005, IEEE CEC 2010 and 4 engineering design problems. The obtained results by RCSA are compared with different algorithms from the literature. The simulations showed that the incorporation of RSS provides an important modification on the CSA. In comparison with the classical CSA and other algorithms from the literature, it seems that the RCSA performed significantly well. The superior results of RCSA on the benchmark problems and engineering design problems showed its applicability for complex real-world problems. The main reason of the superior performance of RCSA lies behind the RSS which helps in breaking new promising regions by the means of the lower and upper approximations and thus it can refine the convergence rate of the algorithm and avoid the sucking in the local optima. Therefore, we can conclude that the proposed RCSA can handle engineering optimization problems efficiently and effectively.

The future work will be focused on applying the methodology of RCSA to solve the multi-objective problems, mixed-type problems, and discrete optimization problems in smart and complex applications (Abdelaziz et al. 2018; Darwish et al. 2017; Elhoseny et al. 2018b, c; Sajjad et al. 2017; Shehab et al. 2018).

Appendix 1: Rough set theory definitions

Definition A.1 (Information system) An information system (IS) is denoted as a triplet $T = (U, A, f)$, where U is a non-empty finite set of objects and A is a non-empty finite set of attributes. An information function f maps an object to its attribute, i.e., $f_a : U \rightarrow V_a$ for every $a \in A$, where V_a is the value set of attribute a . A posteriori knowledge (denoted by d) is expressed by one distinguished attribute. A decision system is an IS with the form $DT = (U, A \cup \{d\}, f)$, where $d \notin A$ is used as supervised learning. The elements of A are called conditional attributes.

Definition A.2 (Indiscernibility) For an attribute set $B \subseteq A$, the equivalence relation induced by B is called a B -indiscernibility relation, i.e., $IND_B(B) = \{(x, y) \in U^2 \mid \forall a \in B, f_a(x) = f_a(y)\}$. The equivalence classes of the B -indiscernibility relation are denoted as $I_B(x)$.

Definition A.3 (Set approximation) Let $X \subseteq U$ and $B \subseteq A$ in an IS, the B -lower approximation of X is the set of objects that belongs to X with certainty, i.e., $\underline{B}X = \{x \in U \mid I_B(x) \subseteq X\}$. The B -upper is the set of objects that possibly belongs to X , where $\bar{B}X = \{x \in U \mid I_B(x) \cap X \neq \emptyset\}$.

Definition A.4 (Reducts) If $X_{DT}^1, X_{DT}^2, \dots, X_{DT}^r$ are the decision classes of DT , the set $POS_B(d) = \underline{B}X^1 \cup \underline{B}X^2 \cup \dots \cup \underline{B}X^r$ is the B -positive region of DT . A subset $B \subseteq A$ is a set of relative reducts of DT if and only if $POS_B(d) = POS_C(d)$ and $POS_{B-\{b\}}(d) \neq POS_C(d), \forall b \in B$. In the same way, $POS_B(X), BN_B(X)$ and $NEG_B(X)$ are defined below (see Fig. 17).

- $POS_B(X) = \underline{B}X \Rightarrow$ certainly member of X
- $NEG_B(X) = U - \bar{B}X \Rightarrow$ certainly non member of X
- $BN_B(X) = \bar{B}X - \underline{B}X \Rightarrow$ possibly member of X .

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